

Saigon Securities Incorporation

B01 - CTCK

INTERIM SEPARATE COMPREHENSIVE INCOME STATEMENT

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

For the three-month period ended 31 March 2016

Currency: VND

Code	ITEMS	Notes	Q1		Accumulated	
			Current year	Prior year	Current year	Prior year
	I. Operating revenue					
01	1. Income from financial assets at fair value through profit and loss (FVTPL)		52,452,494,753	35,373,932,426	52,452,494,753	35,373,932,426
01.1	a. Income from sale of financial assets at fair value through profit and loss		38,554,137,304	27,642,199,058	38,554,137,304	27,642,199,058
01.2	b. Increase in revaluation of financial assets at fair value through profit and loss		11,386,854,049	7,228,061,768	11,386,854,049	7,228,061,768
01.3	c. Dividends, interests from financial assets at fair value through profit and loss		2,511,503,400	503,671,600	2,511,503,400	503,671,600
02	2. Income from investments held-to-maturity (HTM)		68,561,336,178	37,004,085,057	68,561,336,178	37,004,085,057
03	3. Income from loans and receivables		98,340,918,467	69,413,238,915	98,340,918,467	69,413,238,915
04	4. Income from financial assets available-for-sale (AFS)		9,578,425,781	6,114,562,398	9,578,425,781	6,114,562,398
05	5. Income from derivatives for risk prevention		-	-	-	-
06	6. Revenue from brokerage services		80,679,015,435	62,059,832,424	80,679,015,435	62,059,832,424
07	7. Revenue from securities underwriting and securities issuance agency services		9,000,000,000	174,791,725	9,000,000,000	174,791,725
08	8. Revenue from securities investment advisory services		791,988,380	2,335,228,423	791,988,380	2,335,228,423
09	9. Revenue from securities custody services		2,275,392,225	1,632,318,397	2,275,392,225	1,632,318,397
10	10. Revenue from financial advisory services		15,555,820,787	6,587,317,091	15,555,820,787	6,587,317,091
11	11. Other revenue		26,430,086,915	6,822,624,533	26,430,086,915	6,822,624,533
20	Total Operating revenue		363,665,478,921	227,517,931,389	363,665,478,921	227,517,931,389
	II. Operating expense					
21	1. Loss from financial assets at fair value through profit and loss (FVTPL)		48,268,046,531	30,987,444,026	48,268,046,531	30,987,444,026
21.1	a. Loss from sale of financial assets at fair value through profit and loss		951,218,959	481,436,453	951,218,959	481,436,453
21.2	b. Decrease in revaluation of financial assets at fair value through profit and loss		47,112,345,025	30,506,007,573	47,112,345,025	30,506,007,573
21.2	c. Expense for trading financial assets at fair value through profit and loss		204,482,547	-	204,482,547	-
22	2. Loss from investments held-to-maturity (HTM)		-	-	-	-
23	3. Loss from financial assets available-for-sale (AFS) and difference arising from reclassification of AFS		-	-	-	-
24	4. Provision for diminution in value of financial assets, provision for doubtful debts and lending expenses		6,519,333,122	-	6,519,333,122	-
25	5. Loss from derivatives for risk prevention		-	-	-	-
26	6. Expense for self-trading activities		18,663,127,653	14,593,137,757	18,663,127,653	14,593,137,757
27	7. Expense for brokerage services		63,628,820,377	49,160,579,566	63,628,820,377	49,160,579,566

Code	ITEMS	Notes	Q1		Accumulated	
			Current year	Prior year	Current year	Prior year
28	8. Expense for securities underwriting and securities issuance agency services		-	-	-	-
29	9. Expense for securities investment advisory services		497,732,936	646,256,007	497,732,936	646,256,007
30	10. Expense for custody services		3,316,698,015	2,395,175,924	3,316,698,015	2,395,175,924
31	11. Expense for financial advisory services		9,776,209,527	1,822,987,933	9,776,209,527	1,822,987,933
32	12. Other expenses		6,632,012,808	7,986,377,508	6,632,012,808	7,986,377,508
40	Total operating expense		157,301,980,969	107,591,958,721	157,301,980,969	107,591,958,721
	III. Revenue from financial activities					
41	1. Realized and unrealized gain from foreign exchange rate		140,097	328	140,097	328
42	2. Income, accrued income from dividend and deposit interest		9,574,614,757	35,496,463,963	9,574,614,757	35,496,463,963
43	3. Revenue from sale, liquidation of investments in subsidiaries, associates, and joint venture		-	108,407,136,670	-	108,407,136,670
44	4. Other revenue from investments		-	-	-	-
50	Total revenue from financial activities		9,574,754,854	143,903,600,961	9,574,754,854	143,903,600,961
	IV. Expense for financial activities					
51	1. Realized and unrealized loss from foreign exchange rate		2,915,806	9,844,894	2,915,806	9,844,894
52	2. Interest expense		57,109,585,173	20,504,774,652	57,109,585,173	20,504,774,652
53	3. Loss from sale, liquidation of investments in subsidiaries, associates, and joint venture		-	-	-	-
54	4. Provision expense for diminution in value of long-term financial investments		-	(13,830,727,679)	-	(13,830,727,679)
55	5. Other expenses in investments		-	-	-	-
60	Total expense for financial activities		57,112,500,979	6,683,891,867	57,112,500,979	6,683,891,867
61	V. Selling expense		-	-	-	-
62	VI. Administrative expense		25,002,057,114	25,712,120,152	25,002,057,114	25,712,120,152
70	VII. Net profit from operating activities		133,823,694,713	231,433,561,610	133,823,694,713	231,433,561,610
	VIII. Other income and expense					
71	1. Other income		573,574,380	384,909,109	573,574,380	384,909,109
72	2. Other expense		47,910,769	2,388,568	47,910,769	2,388,568
80	Total other income and expense		525,663,611	382,520,541	525,663,611	382,520,541
90	IX. Profit before tax		134,349,358,324	231,816,082,151	134,349,358,324	231,816,082,151
91	1. Realised profit		170,074,849,300	255,094,027,956	170,074,849,300	255,094,027,956
92	2. Unrealised profit		(35,725,490,976)	(23,277,945,805)	(35,725,490,976)	(23,277,945,805)
100	X. Corporate income tax		24,413,504,807	44,835,927,066	24,413,504,807	44,835,927,066
100.1	1. Current corporate income tax		25,828,136,619	44,278,917,866	25,828,136,619	44,278,917,866
100.2	2. Deferred corporate income tax		(1,414,631,812)	557,009,200	(1,414,631,812)	557,009,200
200	XI. Profit after tax		109,935,853,517	186,980,155,085	109,935,853,517	186,980,155,085
201	1. Profit after tax distributed to the owners					
202	2. Profit after tax used for Funds' appropriation					

Code	ITEMS	Notes	Q1		Accumulated	
			Current year	Prior year	Current year	Prior year
300	XII. Other comprehensive after-tax profit (loss)					
301	1. Profit/(loss) from revaluation of investments held-to-maturity		-	-	-	-
302	2. Profit/(loss) from revaluation of financial assets available-for-sale		(221,915,310)	(1,410,380,469)	(221,915,310)	(1,410,380,469)
303	3. Other comprehensive profit/(loss) received from investments in subsidiaries, associates, joint venture		-	-	-	-
304	4. Foreign exchange difference gain/(loss) arising from operation in foreign countries		-	-	-	-
305	5. Profit/(loss) from revaluation of fixed assets using fair value model		-	-	-	-
306	6. Other comprehensive profit/(loss)		-	-	-	-
400	Total comprehensive income		(221,915,310)	(1,410,380,469)	(221,915,310)	(1,410,380,469)
401	1. Comprehensive income distributed to owners					
402	2. Comprehensive income distributed to other subjects (if any)					
500	XIII. Earnings per share					
501	1. Basic earnings per share (VND/share)					
502	2. Diluted earnings per share (VND/share)					

Saigon Securities Incorporation

B02 - CTCK

INTERIM SEPARATE FINANCIAL POSITION STATEMENT As at 31 March 2016

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

Currency: VND

Code	ITEMS	Notes	Ending balance	Opening balance
	ASSETS			
100	A. CURRENT ASSETS		10,836,044,641,016	10,735,367,501,864
110	I. Financial assets		10,593,965,756,835	10,494,559,840,994
111	1. Cash and cash equivalents		344,345,894,045	531,342,593,641
111.1	a. Cash		344,345,894,045	396,342,593,641
111.2	b. Cash equivalents		-	135,000,000,000
112	2. Financial assets at fair value through profit and loss (FVTPL)		1,252,939,528,585	1,087,067,623,216
113	3. Investments held to maturity (HTM)		4,366,632,076,312	4,430,939,875,859
114	4. Loans to customers		3,772,131,876,731	3,634,072,568,580
115	5. Financial assets available for sale (AFS)		836,735,321,449	786,798,937,549
116	6. Provision for diminution in value of financial assets and collaterals		(6,519,333,122)	
117	7. Receivables		2,664,847,570	7,697,462,470
117.1	a. Receivables from selling financial assets		2,531,847,570	2,531,847,570
117.2	b. Dividend, interest receivables from financial assets		133,000,000	5,165,614,900
117.3	- Dividend, interest receivables at due date		133,000,000	133,000,000
117.3.1	Including: Doubtful receivables		133,000,000	133,000,000
117.4	- Dividend, interest receivables before due date		-	5,032,614,900
118	8. Value added tax deductible		-	
119	9. Receivable from services provided by securities company		14,395,358,298	5,948,687,965
120	10. Internal receivables		-	
121	11. Receivables from securities trading errors		-	
122	12. Other receivables		14,071,298,927	14,123,203,674
129	13. Provision for diminution in value of receivables		(3,431,111,960)	(3,431,111,960)
130	II. Other current assets		242,078,884,181	240,807,660,870
131	1. Advance		6,338,195,701	3,206,708,337
132	2. Office supplies		-	-
133	3. Short-term prepaid expenses		6,024,669,651	7,885,053,704
134	4. Short-term pledges and deposits		747,845,000	747,725,000
135	5. Other current assets		228,968,173,829	228,968,173,829
136	6. Provision for diminution in value of other current assets		-	
200	B. NON-CURRENT ASSETS		1,418,585,089,435	1,162,290,596,474
210	I. Long-term financial assets		1,196,133,526,534	945,793,526,539
211	1. Long-term receivables		-	
212	2. Long-term investments		1,196,133,526,534	945,793,526,539
212.1	a. Investments held-to-maturity		300,339,999,999	50,000,000,000
212.2	b. Investments in subsidiaries		342,000,000,000	342,000,000,000
212.3	c. Investments in associates, joint venture		553,793,526,535	553,793,526,539
220	II. Fixed assets		134,668,106,651	131,608,781,084
221	1. Tangible fixed assets		15,335,961,646	14,766,289,761
222	a. Cost		88,209,585,006	86,216,760,436
223a	b. Accumulated depreciation		(72,873,623,360)	(71,450,470,675)

Code	ITEMS	Notes	Ending balance	Opening balance
223b	c. <i>Revaluation at fair value</i>			
224	2. Leased fixed assets			-
225	a. <i>Cost</i>			
226a	b. <i>Accumulated depreciation</i>			
226b	c. <i>Revaluation at fair value</i>			
227	3. Intangible fixed assets		119,332,145,005	116,842,491,323
228	a. <i>Cost</i>		170,656,780,666	166,908,879,366
229a	b. <i>Accumulated depreciation</i>		(51,324,635,661)	(50,066,388,043)
229b	c. <i>Revaluation at fair value</i>			
230	III. Property investments			-
231	1. <i>Cost</i>			
232a	2. <i>Accumulated depreciation</i>			
232b	3. <i>Revaluation at fair value</i>			
240	IV. Construction in progress		298,340,000	298,340,000
250	V. Other long-term assets		87,485,116,250	84,589,948,851
251	1. Long-term pledges and deposits		17,277,016,210	17,272,240,210
252	2. Long-term prepaid expenses		3,471,835,764	1,996,076,177
253	3. Deferred tax asset		46,736,264,276	45,321,632,464
254	4. Payment for Settlement Assistance Fund		20,000,000,000	20,000,000,000
255	5. Other long-term assets		-	-
260	VI. Provision for diminution in value of long-term assets		-	-
270	TOTAL ASSETS		12,254,629,730,451	11,897,658,098,338
300	C. LIABILITIES		5,635,923,142,839	5,388,424,913,133
310	I. Current liabilities		4,948,923,142,839	4,700,924,913,133
311	1. Short-term loans and borrowings; and financial leases		4,302,350,558,408	3,913,847,967,537
312	a. <i>Short-term loans and borrowings</i>		4,302,350,558,408	3,913,847,967,537
313	b. <i>Short-term financial leases</i>		-	
314	2. Short-term financial asset borrowings		-	
315	3. Short-term convertible bonds – Debt component		-	
316	4. Short-term issued bonds		-	
317	5. Borrowings from Settlement Assistance Fund		-	
318	6. Payables for securities trading activities		223,759,716,683	308,942,165,126
319	7. Payables for financial assets transaction errors		-	
320	8. Short-term payables to suppliers		246,163,030	3,007,381,612
321	9. Short-term advances from customers		4,528,366,500	4,893,483,331
322	10. Statutory obligations		41,331,091,123	84,794,532,019
323	11. Payables to employees		3,560,585,737	3,558,502,404
324	12. Employee benefits payables		61,444,539	7,053,847
325	13. Accrued expenses		57,545,597,481	71,228,108,976
326	14. Internal payables		-	
327	15. Short-term unearned income		167,157,575	
328	16. Short-term pledges and deposits of customers		-	
329	17. Other short-term payables		221,929,775,905	194,712,311,718
330	18. Provision for short-term payables		-	
331	19. Bonus and welfare fund		93,442,685,858	115,933,406,563
340	II. Non-current liabilities		687,000,000,000	687,500,000,000

Code	ITEMS	Notes	Ending balance	Opening balance
341	1. Long-term loans and borrowings; and financial leases		-	
342	<i>Long-term loans and borrowings</i>			
343	<i>Long-term financial leases</i>			
344	2. Long-term financial assets borrowings		-	
345	3. Long-term convertible bonds – debt component		-	
346	4. Long-term issued bonds		687,000,000,000	687,500,000,000
347	5. Long-term payables to suppliers		-	
348	6. Long-term advances from customers		-	
349	7. Long-term accrued expenses		-	
350	8. Long-term internal payables		-	
351	9. Long-term unearned income		-	
352	10. Long-term pledges and deposits of customers		-	
353	11. Other long-term payables		-	
354	12. Provision for long-term payables		-	
355	13. Investors protection fund		-	
356	14. Deferred tax payable		-	
357	15. Science and technology development fund		-	
400	D. OWNER'S EQUITY		6,618,706,587,612	6,509,233,185,205
410	I. Owner's equity		6,618,706,587,612	6,509,233,185,205
411	1. Shareholder's equity		4,829,511,681,800	4,829,752,217,600
411.1	a. <i>Charter capital</i>		4,800,636,840,000	4,800,636,840,000
411.1a	- <i>Statutory capital</i>		300,000,000,000	300,000,000,000
411.1b	- <i>Supplemental capital</i>		4,500,636,840,000	4,500,636,840,000
411.2	b. <i>Share premium</i>		29,285,860,000	29,285,860,000
411.3	c. <i>Convertible bonds options – Equity component</i>		-	
411.4	d. <i>Other capital</i>		-	
411.5	e. <i>Treasury shares</i>		(411,018,200)	(170,482,400)
412	2. Difference arising from revaluation of assets at fair value		(3,321,140,254)	(3,099,224,944)
413	3. Foreign exchange rate difference		-	
414	4. Charter capital reserves		265,965,319,999	265,965,319,999
415	5. Reserves for financial and operating risk		181,457,725,315	181,457,725,315
416	6. Other owners' funds		-	
417	7. Retained earnings		1,345,093,000,752	1,235,157,147,235
417.1	a. <i>Unrealised earnings</i>		1,567,033,388,509	1,421,372,044,016
417.2	b. <i>Realised earnings</i>		(221,940,387,757)	(186,214,896,781)
420	II. Other funds			
440	TOTAL LIABILITIES AND OWNER'S EQUITY		12,254,629,730,451	11,897,658,098,338
450	PROFITS DISTRIBUTED TO OWNERS			
451	Profits distributed to owners during current year			

OFF SEPARATE FINANCIAL POSITION STATEMENT ITEMS
As at 31 March 2016

Code	ITEMS	Notes	Ending balance	Opening balance
	A. ASSETS AND ASSETS MANAGED UNDER COMMITMENTS OF THE COMPANY			
001	1. Leased fixed assets		-	-
002	2. Custody valuable papers		-	-
003	3. Collateral assets		-	-
004	4. Bad debts written off		-	-
005	5. Foreign currencies		-	-
006	6. Share in circulation		480,033,339	480,057,365
007	7. Treasury shares		30,345	6,319
008	8. Tradable listed/registered at Vietnam Securities Depository financial assets of the Company		34,787,487	32,748,913
008.1	a. Tradable financial assets free to transfer		16,242,821	14,403,247
008.2	b. Tradable financial assets limited to transfer		202,000	-
008.3	c. Pledged tradable financial assets		18,342,666	18,342,666
008.4	d. Blockaded financial assets		-	-
008.5	e. Financial assets awaiting for settlement		-	3,000
008.6	f. Financial assets awaiting for lending		-	-
008.7	g. Financial assets collateralized for borrowings		-	-
009	9. Financial assets registered but not yet traded at Vietnam Securities Depository of the Company		1,542,880	1,542,885
009.1	a. Financial assets registered but not yet traded at Vietnam Securities Depository free to transfer		1,442,880	1,442,885
009.2	b. Financial assets registered but not yet traded at Vietnam Securities Depository limited to transfer		100,000	100,000
009.3	c. Pledged financial assets registered but not yet traded at Vietnam Securities Depository		-	-
009.4	d. Blockaded financial assets registered but not yet traded at Vietnam Securities Depository		-	-
010	10. Financial assets awaiting for receipt of the Company		12,001,331	158,755
011	11. Financial assets used to correct trading errors of the Company		-	-
012	12. Financial assets unregistered at Vietnam Securities Depository of the Company		4,402,741	4,402,741
013	13. Entitled financial assets of the Company		243,779	176

Code	ITEMS	Notes	Ending balance	Opening balance
	B. ASSETS AND LIABILITIES ON ASSETS MANAGED UNDER COMMITMENTS OF INVESTORS		-	-
Securities Quantity				
021	1. Tradable listed/registered at Vietnam Securities Depository financial assets of investors		2,338,892,814	2,222,237,114
021.1	a. Tradable financial assets free to transfer		1,911,364,588	1,813,521,043
021.2	b. Tradable financial assets limited to transfer		90,529,843	74,857,105
021.3	c. Pledged tradable financial assets		286,917,799	275,976,432
021.4	d. Blockaded financial assets		-	-
021.5	e. Financial assets awaiting for settlement		50,080,584	57,882,534
021.6	a. Financial assets awaiting for lending		-	-
022	2. Financial assets registered but not yet traded at Vietnam Securities Depository of investors		77,566,246	101,347,832
022.1	a. Financial assets registered but not yet traded at Vietnam Securities Depository free to transfer		37,666,535	52,706,023
022.2	b. Financial assets registered but not yet traded at Vietnam Securities Depository limited to transfer		5,230,583	13,972,681
022.3	c. Pledged financial assets registered but not yet traded at Vietnam Securities Depository		34,669,128	34,669,128
022.4	d. Blockaded financial assets registered but not yet traded at Vietnam Securities Depository		-	-
023	3. Financial assets awaiting for receipt of investors		43,896,475	51,201,962
0024a	4. Financial assets used to correct trading errors of investors		-	-
0024b	5. Financial assets unregistered at Vietnam Securities Depository of investors		2,393,881	2,291,250
025	6. Entitled financial assets of investors		8,038,557	2,185,917
Vietnam Dong				
026	7. Investors' deposits		1,609,113,884,912	1,244,049,806,891
027	a. Investors' deposits for securities brokerage activities		1,555,085,287,393	1,241,656,219,531
027.1	- Investors' deposits for securities trading activities managed by the Company		1,546,134,103,874	1,239,463,316,338
027.2	- Investors deposits for securities trading activities managed by banks		8,951,183,519	2,192,903,193
028	b. Investors' deposits for securities trading synthetic			-
029	c. Investors' deposits for securities clearing and settlement activities		-	-

Code	ITEMS	Notes	Ending balance	Opening balance
029.1	- Domestic investors' deposits for securities clearing and settlement activities		-	-
029.2	- Foreign investors' deposits for securities clearing and settlement activities		-	-
030	d. Deposits of securities issuers		54,028,597,519	2,393,587,360
031	1. Payables to investors on securities trading deposits managed by the Company		1,546,134,103,874	1,239,463,316,338
031.1	a. Payables to domestic investors on securities trading deposits managed by the Company		1,449,468,511,444	1,136,419,483,706
031.2	b. Payables to foreign investors on securities trading deposits managed by the Company		96,665,592,430	103,043,832,632
032	2. Payables to investors on securities trading deposits managed by banks		8,951,183,519	2,192,903,193
032.1	a. Payables to domestic investors on securities trading deposits managed by banks		200,988,025	56,841,750
032.2	b. Payables to foreign investors on securities trading deposits managed by banks		8,750,195,494	2,136,061,443
033	1. Payables to securities issuers		4,475,972,160	81,800,000
034	2. Receivables/payables to investors relating to financial assets trading errors		-	-
035	3. Dividends, bonds' principal and interest payables		49,552,625,359	2,311,787,360

Saigon Securities Incorporation

B03b - CTCK

INTERIM SEPARATE CASH FLOW STATEMENT For the three-month period ended 31 March 2016

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

Currency: VND

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
	I. CASH FLOWS FROM OPERATING ACTIVITIES			
01	1. Profit before tax		134,349,358,322	231,816,082,151
02	2. Adjustments		(85,206,851,376)	(214,254,379,606)
03	Depreciation and amortization of fixed assets		2,802,400,303	3,234,241,850
04	Provision		6,519,333,122	(13,875,727,676)
05	(Gain)/loss from unrealised foreign exchange rate difference		-	-
06	Accrued, prepaid expenses		4,843,026,644	(9,553,935,067)
07	(Gain)/loss from investments (investments in subsidiaries, associates and joint venture)		(46,050,348,970)	(138,531,713,270)
08	Accrued interest		(110,430,847,648)	(76,031,474,640)
09	Other adjustments		57,109,585,173	20,504,229,197
	- Interest expenses		57,109,585,173	20,504,774,652
	- Others		-	(545,455)
10	3. Increase of non-monetary expenses		47,112,345,025	30,506,007,570
11	Loss from revaluation of financial assets FVTPL		47,112,345,025	30,506,007,570
12	Loss from diminution in value of HTM investments		-	-
13	Loss from diminution in value of loans and receivables		-	-
14	Loss from revaluation of AFS financial assets when reclassifying		-	-
15	Diminution in value of fixed assets and investment property		-	-
16	Provision expense for diminution in value of long-term investments		-	-
17	Other losses		-	-
18	4. Decrease of non-monetary revenue		(11,386,854,049)	(7,228,061,768)
19	Gain from revaluation of financial assets FVTPL		(11,386,854,049)	(7,228,061,768)
20	Gain from revaluation of financial assets AFS		-	-
21	Other gains		-	-
30	5. Operating income before changes in working capital		84,867,997,922	40,839,648,347
31	(Increase)/decrease of financial assets FVTPL		(201,597,396,345)	(76,027,561,028)
32	(Increase)/decrease of HTM investments		44,293,643,992	433,125,123,380
33	(Increase)/decrease of loan and receivables		(138,059,308,151)	(213,030,243,559)
34	(Increase)/decrease of financial assets AFS		(44,495,107,429)	(21,400,468,000)
35	(Increase)/decrease of receivables from sale of financial assets		-	(260,170,000)
36	(Increase)/decrease of dividend, interest receivables from financial assets		-	-
37	(Increase)/decrease of receivables from rendering of services		(8,446,670,333)	(1,152,424,162)
38	(Increase)/decrease of receivables from financial assets trading errors		-	-

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
39	(Increase)/decrease of other receivables		(1,257,695,758)	27,615,673,136
40	(Increase)/decrease of other assets		(2,746,863,098)	(3,707,828,657)
41	Increase/(decrease) of short-term loans and borrowings		-	-
42	Increase/(decrease) of financial assets borrowings		-	-
43	Increase/(decrease) of convertible bonds – debt component		-	-
44	Increase/(decrease) of bonds issued		-	-
45	Increase/(decrease) of borrowings from Settlement Assistance Fund		-	-
46	Increase/(decrease) of payables to suppliers		(2,761,218,582)	(787,431,297)
47	Increase/(decrease) of employee benefits		54,390,692	22,175,000
48	Increase/(decrease) of statutory obligations		44,184,904	14,959,449,387
49	Increase/(decrease) of payables to employees		2,083,333	(8,000,000)
50	Increase/(decrease) of financial assets trading errors payables		-	-
51	Increase/(decrease) of other payables		(58,162,943,019)	(52,790,798,438)
52	Other proceeds from operating activities		123,555,701,767	74,766,351,515
	- Interests and dividends received		109,800,270,767	74,763,126,515
	- Others		13,755,431,000	3,225,000
53	Other payments for operating activities		(181,221,933,435)	(48,796,388,958)
	- Interest paid		(75,635,123,312)	(11,300,352,385)
	- CIT paid		(69,335,762,418)	(14,150,296,749)
	- Others		(36,251,047,705)	(23,345,739,824)
60	Net cash flows from operating activities		(385,931,133,540)	173,367,106,666
	II. CASH FLOWS FROM INVESTMENT ACTIVITIES			
61	1. Payment for purchases or construction of fixed assets and other long term assets		(4,552,125,775)	(947,246,079)
62	2. Proceeds from disposal of fixed assets and other long-term assets		-	545,455
63	3. Payment to investments in subsidiaries, associates, joint ventures and other investments		(680,000,000,000)	(716,067,276,660)
64	4. Proceed from disposal of investments in subsidiaries, associates, joint venture and other investments		450,000,000,000	159,829,540,000
65	5. Interest and dividend received from long-term financial investments		45,724,504,526	23,994,170,000
70	Net cash flows from investment activities		(188,827,621,249)	(533,190,267,284)
	III. CASH FLOWS FROM FINANCIAL ACTIVITIES			
71	1. Proceeds from share issuance, capital contribution, liquidation of treasury shares		-	52,542,860,000
72	2. Capital redemption and payments for purchase of treasury shares		(240,535,800)	(169,830,000)
73	3. Proceeds from borrowings		8,349,679,055,407	5,603,341,049,185
73.1	a. Proceeds from borrowings from Settlement Assistance Fund		-	-
73.2	b. Proceeds from other borrowings		8,349,679,055,407	5,603,341,049,185
74	4. Payments to borrowings		(7,961,676,464,536)	(5,141,330,349,532)

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
74.1	a. Payments to borrowings from Settlement Assistance Fund		-	-
74.2	b. Payments to financial assets borrowings		-	-
74.3	c. Payments to other borrowings		(7,961,676,464,536)	(5,141,330,349,532)
75	5. Payments to financial lease		-	-
76	6. Dividend, profit distributed to owners		-	-
80	Net cash flows from financial activities		387,762,055,071	514,383,729,653
90	IV. NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENT DURING THE YEAR		(186,996,699,718)	154,560,569,035
101	V. CASH AND CASH EQUIVALENT AT THE BEGINNING OF THE YEAR		531,342,593,641	806,666,840,187
102	Deposits at banks at the beginning of the year:		-	-
102.1	a. Deposits at banks for business operations		396,342,593,641	506,666,840,187
102.2	b. Cash equivalent		135,000,000,000	300,000,000,000
102.3	c. Impact of foreign exchange rate difference		-	-
103	VI. CASH AND CASH EQUIVALENT AT THE END OF THE YEAR		344,345,893,923	961,227,409,222
104	Deposits at banks at the end of the year:		-	-
104.1	d. Deposits at banks for business operations		344,345,893,923	646,227,409,222
104.2	e. Cash equivalent		-	315,000,000,000
104.3	f. Impact of foreign exchange rate difference		-	-

Saigon Securities Incorporation

INTERIM SEPARATE CASH FLOWS RELATING TO BROKERAGE AND TRUSTED ACTIVITIES OF CUSTOMERS For the three-month period ended 31 March 2016

Currency: VND

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
	I. CASH FLOWS RELATING TO BROKERAGE AND TRUSTED ACTIVITIES OF CUSTOMERS			
01	Proceeds from sale of securities of customers under brokerage contracts		16,121,380,471,067	10,395,213,353,980
02	Payments to purchase of securities for customers under brokerage contracts		(17,700,440,465,100)	(10,971,279,242,554)
03	Proceeds from sale of trusted securities of customers		-	-
04	Payments to purchase of trusted securities for customers		-	-
05	Proceeds from borrowings from Settlement Assistance Fund		-	-
06	Payments to borrowings from Settlement Assistance Fund		-	-
07	Proceeds from securities settlement deposits of customers		2,269,031,283,730	879,692,977,509
08	Payments to securities settlement deposits of customers		-	-
09	Payments of custody expenses of customers		(4,590,946,657)	(3,208,454,545)
10	Proceeds from securities trading errors		-	-
11	Payments to securities trading errors		-	-
12	Proceeds from securities issuers		46,325,687,500	386,543,152,500
13	Payments to securities issuers		(366,641,952,519)	(251,283,772,337)
20	Net increase/(decrease) in cash and cash equivalent of customers during the year		365,064,078,021	435,678,014,553
30	I. CASH AND CASH EQUIVALENT OF CUSTOMERS AT THE BEGINNING OF THE YEAR		1,244,049,806,891	945,003,315,997
31	Deposits at banks at the beginning of the year:		1,244,049,806,891	945,003,315,997
32	Investors' deposits for securities trading activities managed by the Company		1,239,463,316,338	943,333,972,189
	<i>In which term deposits</i>			
33	Investors' deposits for securities trading activities managed by banks		2,192,903,193	810,026,688
	<i>In which term deposits</i>			
34	Investors' deposit for securities trading synthetic			
35	Investors' deposits for securities trading clearing and settlement			-
36	Deposits of issuers		2,393,587,360	859,317,120
	<i>In which term deposits</i>			
37	Cash equivalent			
38	Impact of foreign exchange rate			

Code	ITEMS	Note	Accumulated	
			Current year	Prior year
40	II. CASH AND CASH EQUIVALENT OF CUSTOMERS AT THE END OF THE YEAR		1,609,113,884,912	1,380,681,330,550
41	Deposits at banks at the beginning of the year:		1,609,113,884,912	1,380,681,330,550
42	Investors' deposits for securities trading activities managed by the Company <i>In which term deposits</i>		1,546,134,103,874	1,312,106,082,244
43	Investors' deposits for securities trading activities managed by banks <i>In which term deposits</i>		8,951,183,519	2,323,320,336
44	Investors' deposit for securities trading synthetic			
45	Investors' deposits for securities trading clearing and settlement			
46	Deposits of issuers <i>In which term deposits</i>		54,028,597,519	66,251,927,970
47	Cash equivalent			
48	Impact of foreign exchange rate			

Saigon Securities Incorporation

B02 - CTCK

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

INTERIM SEPARATE STATEMENT OF CHANGES IN OWNERS' EQUITY

For the three-month period ended 31 March 2016

Currency: VND

ITEMS	Notes	Beginning balance		Increase/(decrease)				Ending balance	
		1-Jan-2016	1-Jan-2015	For the year 2016		For the year 2015		31-Mar-2016	31-Mar-2015
				Increase	Decrease	Increase	Decrease		
A	B	1	2	3	4	5	6	7	8
I. Changes in owners' equity									
1. Owners' equity		4,829,922,700,000	3,880,146,230,218	-	-	764,801,930,000	342,245,310,218	4,829,922,700,000	4,302,702,850,000
a. Legal capital		300,000,000,000	300,000,000,000					300,000,000,000	300,000,000,000
b. Supplemental capital		4,500,636,840,000	3,237,949,420,000			735,378,570,000		4,500,636,840,000	3,973,327,990,000
c. Share premium		29,285,860,000	342,196,810,218			29,423,360,000	342,245,310,218	29,285,860,000	29,374,860,000
d. Convertible bonds options – equity component								-	-
e. Other capital								-	-
2. Treasury shares		(170,482,400)	(54,900)	(240,535,800)		(169,830,000)		(411,018,200)	(169,884,900)
3. Charter capital reserves		265,965,319,999	228,753,241,307					265,965,319,999	228,753,241,307
4. Reserves for financial and operating risk		181,457,725,315	144,245,646,623					181,457,725,315	144,245,646,623
5. Difference arising from revaluation of assets at fair value		(3,099,224,944)	(13,462,919,042)		221,915,310	358,442,175	1,768,822,644	(3,321,140,254)	(14,873,299,511)
6. Foreign exchange rate differences								-	-
7. Other funds								-	-
8. Undistributed earnings		1,235,157,147,235	1,202,914,297,954	157,048,198,542	47,112,345,025	217,694,078,428	400,803,141,976	1,345,093,000,752	1,019,805,234,406
8.1. Realised earnings		1,421,372,044,016	1,671,017,627,814	145,661,344,493		210,466,016,660	370,297,134,403	1,567,033,388,509	1,511,186,510,071
8.2. Unrealised earnings		(186,214,896,781)	(468,103,329,860)	11,386,854,049	47,112,345,025	7,228,061,768	30,506,007,573	(221,940,387,757)	(491,381,275,665)
TOTAL		6,509,233,185,205	5,442,596,442,160	156,807,662,742	47,334,260,335	982,684,620,603	744,817,274,838	6,618,706,587,612	5,680,463,787,925

ITEMS	Notes	Beginning balance		Increase/(decrease)				Ending balance	
		1-Jan-2016	1-Jan-2015	For the year 2016		For the year 2015		31-Mar-2016	31-Mar-2015
				Increase	Decrease	Increase	Decrease		
A	B	1	2	3	4	5	6	7	8
II. OTHER COMPREHENSIVE INCOME									
1. Gain/(loss) from revaluation of AFS financial assets		(3,099,224,944)	(13,462,919,042)		221,915,310	358,442,175	1,768,822,644	(3,321,140,254)	(14,873,299,511)
2. The Company's portion in comprehensive income of associates, joint venture									
3. Gain/(loss) from revaluation of derivatives									
4. Gain/(loss) from trading transactions in foreign countries									
5. Increase/(decrease) in capital contribution in subsidiaries									
6. Purchase of Investments in subsidiaries									
7. Liquidation of investments in subsidiaries									
8. Purchasing treasury shares									
9. Liquidating treasury shares									
10. Changes in capital portion of non-controlling investors									
TOTAL		(3,099,224,944)	(13,462,919,042)	-	221,915,310	358,442,175	1,768,822,644	(3,321,140,254)	(14,873,299,511)