

Ref: 799/2014/CV-SSIHO

Ho Chi Minh, August 04, 2014

**ANNOUNCEMENT OF TRADING TREASURY SHARES**

**To: State Securities Commission  
Ho Chi Minh City Stock Exchange**

**I. Introduction to the public company trading treasury shares:**

1. Name of the public company trading treasury shares: SAIGON SECURITIES INCORPORATION
2. Trading name: SAIGON SECURITIES INCORPORATION
3. Head office: 72 Nguyen Hue, District 01, Ho Chi Minh City
4. Telephone: 08-38242897 Fax: 08-38242997
5. Symbol code: SSI
6. Par value: 10,000 VND
7. Charter capital: 3,537,949,420,000 VND
8. Place of opening account: Saigon Securities Inc. Securities trading account: 003P888888
9. Legal basis of business activities:
  - Operation License No. 03/GPHDKD issued by the State Securities Commission on 5 April 2000
  - Primary businesses: Securities brokerage, Securities self-trading, Portfolio management, Underwriting issues of securities
  - Primary services: Securities brokerage, Securities investment consultancy, Securities depository, Underwriting issues of securities
  - Total liabilities and equity: 7,344,730,182,962 VND (Source: Audited Separate Financial Statement 2013)

**II. Plan for trading treasury shares:**

1. Total treasury shares held prior to this trading: 3,046,908 shares
2. The latest redemption of shares/sale of treasury shares: number, time of redemption/sale:

No.	Number of shares	Implementation date	Reason
1	3,000,000	07/3/2011	Redemption of shares on stock exchange
2	30,000	09/4/2012	Redemption of shares issued according to employee stock ownership plan from resigned employees in accordance with issuance article.
3	16,900	16/4/2012	Fixing transaction
4	08	19/6/2013	Redemption of odd lot shares upon request of investor opening account at SSI

3. Total maximum proposed treasury shares to sell: 3,046,908 shares
4. Purpose of sale of treasury shares: Adding working capital for business activities of company.
5. Equity: Not applicable
6. Expected time of trading: Within 30 days after receiving approval of the State Securities Commission and disclosing information in accordance with laws, expected from 14/8/2014 to 12/9/2014
7. Method of trading: Put-through and order-matching on stock exchange
8. Principles for determining price: According to trading regulations of Ho Chi Minh City Stock Exchange
9. Daily selling volume: According to trading regulations of Ho Chi Minh City Stock Exchange
10. Price: Not below 28,000 VND/share
11. Name of Securities Company appointed as an agency performing sale of treasury shares: Saigon Securities Inc.
  - Head office: 72 Nguyen Hue, District 01, Ho Chi Minh City
  - Telephone: 08-38242897
  - Securities trading account: 003P888888

We declare that the information above is true and we commit to comply with the prevailing regulations.

**Spokesman**

**Nguyen Hong Nam  
Deputy of CEO**