

Saigon Securities Incorporation

B01 - CTCK/HN

INTERIM CONSOLIDATED COMPREHENSIVE INCOME STATEMENT

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

For the three-month period ended 31 March 2016

Currency: VND

Code	ITEMS	Notes	Q1		Accumulated	
			Current year	Prior year (restated)	Current year	Prior year (restated)
	I. Operating revenue					
01	1. Income from financial assets at fair value through profit and loss (FVTPL)		52,469,492,753	35,736,343,050	52,469,492,753	35,736,343,050
01.1	a. Income from sale of financial assets at fair value through profit and loss		38,554,137,304	28,004,609,682	38,554,137,304	28,004,609,682
01.2	b. Increase in revaluation of financial assets at fair value through profit and loss		11,398,752,649	7,228,061,768	11,398,752,649	7,228,061,768
01.3	c. Dividends, interests from financial assets at fair value through profit and loss		2,516,602,800	503,671,600	2,516,602,800	503,671,600
02	2. Income from investments held-to-maturity (HTM)		68,561,571,423	37,004,342,810	68,561,571,423	37,004,342,810
03	3. Income from loans and receivables		98,340,918,467	69,413,238,915	98,340,918,467	69,413,238,915
04	4. Income from financial assets available-for-sale (AFS)		9,578,425,781	6,114,562,398	9,578,425,781	6,114,562,398
05	5. Income from derivatives for risk prevention		-	-	-	-
06	6. Revenue from brokerage services		80,509,883,952	61,697,421,800	80,509,883,952	61,697,421,800
07	7. Revenue from securities underwriting and securities issuance agency services		9,000,000,000	174,791,725	9,000,000,000	174,791,725
08	8. Revenue from securities investment advisory services		1,587,924,362	2,335,228,423	1,587,924,362	2,335,228,423
09	9. Revenue from securities custody services		2,275,392,225	1,632,318,397	2,275,392,225	1,632,318,397
10	10. Revenue from financial advisory services		15,555,820,787	6,587,317,091	15,555,820,787	6,587,317,091
11	11. Other revenue		29,484,913,780	10,909,496,162	29,484,913,780	10,909,496,162
20	Total Operating revenue		367,364,343,530	231,605,060,771	367,364,343,530	231,605,060,771
	II. Operating expense					
21	1. Loss from financial assets at fair value through profit and loss (FVTPL)		48,268,046,531	30,987,444,026	48,268,046,531	30,987,444,026
21.1	a. Loss from sale of financial assets at fair value through profit and loss		951,218,959	481,436,453	951,218,959	481,436,453
21.2	b. Decrease in revaluation of financial assets at fair value through profit and loss		47,112,345,025	30,506,007,573	47,112,345,025	30,506,007,573
21.3	c. Expense for trading financial assets at fair value through profit and loss		204,482,547	-	204,482,547	-
22	2. Loss from investments held-to-maturity (HTM)		-	-	-	-
23	3. Loss from financial assets available-for-sale (AFS) and difference arising from reclassification of AFS		-	-	-	-
24	4. Provision for diminution in value of financial assets, provision for doubtful debts and lending expenses		6,519,333,122	-	6,519,333,122	-
25	5. Loss from derivatives for risk prevention		-	-	-	-
26	6. Expense for self-trading activities		642,332,268	260,455,760	642,332,268	260,455,760

Code	ITEMS	Notes	Q1		Accumulated	
			Current year	Prior year (restated)	Current year	Prior year (restated)
27	7. Expense for brokerage services		63,628,820,377	49,160,579,566	63,628,820,377	49,160,579,566
28	8. Expense for securities underwriting and securities issuance agency services		-	-	-	-
29	9. Expense for securities investment advisory services		497,732,936	646,256,007	497,732,936	646,256,007
30	10. Expense for custody services		3,316,698,015	2,395,175,924	3,316,698,015	2,395,175,924
31	11. Expense for financial advisory services		9,776,209,527	1,822,987,933	9,776,209,527	1,822,987,933
32	12. Other expenses		12,522,860,035	13,910,884,303	12,522,860,035	13,910,884,303
40	Total operating expense		145,172,032,811	99,183,783,519	145,172,032,811	99,183,783,519
	III. Revenue from financial activities					
41	1. Realized and unrealized gain from foreign exchange rate		140,097	328	140,097	328
42	2. Income, accrued income from dividend and deposit interest		4,930,370,585	11,570,234,586	4,930,370,585	11,570,234,586
43	3. Revenue from sale, liquidation of investments in subsidiaries, associates, and joint venture		-	45,609,255,951	-	45,609,255,951
44	4. Other revenue from investments		-	-	-	-
50	Total revenue from financial activities		4,930,510,682	57,179,490,865	4,930,510,682	57,179,490,865
	IV. Expense for financial activities					
51	1. Realized and unrealized loss from foreign exchange rate		2,915,806	9,844,894	2,915,806	9,844,894
52	2. Interest expense		57,109,585,173	20,504,774,652	57,109,585,173	20,504,774,652
53	3. Loss from sale, liquidation of investments in subsidiaries, associates, and joint venture		-	-	-	-
54	4. Provision expense for diminution in value of long-term financial investments		-	-	-	-
55	5. Other expenses in investments		-	-	-	-
60	Total expense for financial activities		57,112,500,979	20,514,619,546	57,112,500,979	20,514,619,546
56	6. Profit/(loss) received from investments in subsidiaries, associates, joint venture		26,609,016,329	14,032,077,398	26,609,016,329	14,032,077,398
61	V. Selling expense					
62	VI. Administrative expense		28,501,044,598	28,330,897,272	28,501,044,598	28,330,897,272
70	VII. Net profit from operating activities		168,118,292,153	154,787,328,697	168,118,292,153	154,787,328,697
	VIII. Other income and expense					
71	1. Other income		573,574,380	384,909,109	573,574,380	384,909,109
72	2. Other expense		47,910,769	2,388,568	47,910,769	2,388,568
80	Total other income and expense		525,663,611	382,520,541	525,663,611	382,520,541
90	IX. Profit before tax		168,643,955,764	155,169,849,238	168,643,955,764	155,169,849,238
91	1. Realised profit		204,357,548,140	178,447,795,043	204,357,548,140	178,447,795,043
92	2. Unrealised profit		(35,713,592,376)	(23,277,945,805)	(35,713,592,376)	(23,277,945,805)
100	X. Corporate income tax		27,240,749,789	42,554,648,096	27,240,749,789	42,554,648,096
100.1	1. Current corporate income tax		28,977,996,642	47,054,793,821	28,977,996,642	47,054,793,821
100.2	2. Deferred corporate income tax		(1,737,246,853)	(4,500,145,725)	(1,737,246,853)	(4,500,145,725)
200	XI. Profit after tax		141,403,205,975	112,615,201,142	141,403,205,975	112,615,201,142
201	1. Profit after tax distributed to the owners		141,731,899,653	112,860,337,671	141,731,899,653	112,860,337,671
202	2. Profit after tax used for Funds' appropriation					
203	Non-controlling interest		(328,693,678)	(245,136,529)	(328,693,678)	(245,136,529)

Code	ITEMS	Notes	Q1		Accumulated	
			Current year	Prior year (restated)	Current year	Prior year (restated)
300	XII. Other comprehensive after-tax profit (loss)					
301	1. Profit/(loss) from revaluation of investments held-to-maturity					
302	2. Profit/(loss) from revaluation of financial assets available-for-sale		(197,382,712)	(1,410,380,469)	(197,382,712)	(1,410,380,469)
303	3. Other comprehensive profit/(loss) received from investments in subsidiaries, associates, joint venture					
304	4. Foreign exchange difference gain/(loss) arising from operation in foreign countries					
305	5. Profit/(loss) from revaluation of fixed assets using fair value model					
306	6. Other comprehensive profit/(loss)					
400	Total comprehensive income		(197,382,712)	(1,410,380,469)	(197,382,712)	(1,410,380,469)
401	1. Comprehensive income distributed to owners					
402	2. Comprehensive income distributed to other subjects (if any)					
500	XIII. Earnings per share					
501	1. Basic earnings per share (VND/share)					
502	2. Diluted earnings per share (VND/share)					

Saigon Securities Incorporation

B02 - CTCK/HN

INTERIM CONSOLIDATED FINANCIAL POSITION STATEMENT

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

As at 31 March 2016

Currency: VND

Code	ITEMS	Notes	Ending balance	Opening balance (restated)
	ASSETS			
100	A. CURRENT ASSETS		11,074,629,143,873	10,974,951,947,412
110	I. Financial assets		10,831,936,334,142	10,733,304,674,029
111	1. Cash and cash equivalents		557,202,707,550	746,360,295,275
111.1	a. Cash		461,789,790,885	511,360,295,275
111.2	b. Cash equivalents		95,412,916,665	235,000,000,000
112	2. Financial assets at fair value through profit and loss (FVTPL)		1,254,256,184,117	1,088,372,380,148
113	3. Investments held to maturity (HTM)		4,366,642,706,845	4,431,399,641,147
114	4. Loans to customers		3,772,131,876,731	3,634,072,568,580
115	5. Financial assets available for sale (AFS)		853,322,608,097	803,351,191,599
116	6. Provision for diminution in value of financial assets and collaterals		(6,519,333,122)	-
117	7. Receivables		2,669,946,970	7,701,827,470
117.1	a. Receivables from selling financial assets		2,531,847,570	2,531,847,570
117.2	b. Dividend, interest receivables from financial assets		138,099,400	5,169,979,900
117.3	- Dividend, interest receivables at due date		133,000,000	133,000,000
117.3.1	Including: Doubtful receivables			
117.4	- Dividend, interest receivables before due date		5,099,400	5,036,979,900
118	8. Value added tax deductible		-	-
119	9. Receivable from services provided by securities company		19,806,492,763	9,353,388,977
120	10. Internal receivables		-	-
121	11. Receivables from securities trading errors		-	-
122	12. Other receivables		15,854,256,151	16,124,492,793
129	13. Provision for diminution in value of receivables		(3,431,111,960)	(3,431,111,960)
130	II. Other current assets		242,692,809,731	241,647,273,383
131	1. Advance		6,408,197,701	3,278,848,232
132	2. Office supplies		-	-
133	3. Short-term prepaid expenses		6,465,552,551	8,548,603,317
134	4. Short-term pledges and deposits		850,885,650	851,648,005
135	5. Other current assets		228,968,173,829	228,968,173,829
136	6. Provision for diminution in value of other current assets		-	-
200	B. NON-CURRENT ASSETS		1,446,700,404,974	1,178,479,650,116
210	I. Long-term financial assets		954,913,121,149	687,417,114,391
211	1. Long-term receivables			
212	2. Long-term investments		954,913,121,149	687,417,114,391
212.1	a. Investments held-to-maturity		300,339,999,999	50,000,000,000
212.2	b. Investments in subsidiaries		-	-
212.3	c. Investments in associates, joint venture		654,573,121,150	637,417,114,391
220	II. Fixed assets		135,168,599,651	131,682,063,084
221	1. Tangible fixed assets		15,364,333,646	14,797,817,761
222	a. Cost		89,242,908,648	87,250,084,078
223a	b. Accumulated depreciation		(73,878,575,002)	(72,452,266,317)

Code	ITEMS	Notes	Ending balance	Opening balance (restated)
223b	c. <i>Revaluation at fair value</i>			
224	2. Leased fixed assets			-
225	a. <i>Cost</i>			
226a	b. <i>Accumulated depreciation</i>			
226b	c. <i>Revaluation at fair value</i>			
227	3. Intangible fixed assets		119,804,266,005	116,884,245,323
228	a. <i>Cost</i>		171,733,146,861	167,521,245,561
229a	b. <i>Accumulated depreciation</i>		(51,928,880,856)	(50,637,000,238)
229b	c. <i>Revaluation at fair value</i>			
230	III. Property investments		262,847,060,400	268,409,921,032
231	1. Cost		307,843,660,634	311,647,615,101
232a	2. Accumulated depreciation		(44,996,600,234)	(43,237,694,069)
232b	3. Revaluation at fair value			
240	IV. Construction in progress		298,340,000	298,340,000
250	V. Other long-term assets		93,473,283,774	90,672,211,609
251	1. Long-term pledges and deposits		17,292,016,210	17,287,240,210
252	2. Long-term prepaid expenses		3,786,751,721	2,405,087,368
253	3. Deferred tax asset		52,394,515,843	50,979,884,031
254	4. Payment for Settlement Assistance Fund		20,000,000,000	20,000,000,000
255	5. Other long-term assets		-	-
256	6. Goodwill		-	-
260	VI. Provision for diminution in value of long-term assets			
270	TOTAL ASSETS		12,521,329,548,847	12,153,431,597,528
300	C. LIABILITIES		5,660,870,591,271	5,425,822,583,237
310	I. Current liabilities		4,938,420,222,969	4,702,545,475,812
311	1. Short-term loans and borrowings; and financial leases		4,302,350,558,408	3,913,847,967,537
312	a. <i>Short-term loans and borrowings</i>		4,302,350,558,408	3,913,847,967,537
313	b. <i>Short-term financial leases</i>			
314	2. Short-term financial asset borrowings			
315	3. Short-term convertible bonds – Debt component			
316	4. Short-term issued bonds			
317	5. Borrowings from Settlement Assistance Fund			
318	6. Payables for securities trading activities		223,759,716,683	308,942,165,126
319	7. Payables for financial assets transaction errors		-	-
320	8. Short-term payables to suppliers		2,050,066,321	4,847,340,451
321	9. Short-term advances from customers		4,528,366,500	4,893,483,331
322	10. Statutory obligations		45,876,261,458	91,848,160,110
323	11. Payables to employees		3,563,860,737	3,908,502,404
324	12. Employee benefits payables		61,133,644	6,742,952
325	13. Accrued expenses		43,500,714,045	63,406,571,076
326	14. Internal payables		-	-
327	15. Short-term unearned income		167,157,575	-
328	16. Short-term pledges and deposits of customers		-	-
329	17. Other short-term payables		219,119,701,740	194,911,136,262
330	18. Provision for short-term payables		-	-
331	19. Bonus and welfare fund		93,442,685,858	115,933,406,563
340	II. Non-current liabilities		722,450,368,302	723,277,107,425

Code	ITEMS	Notes	Ending balance	Opening balance (restated)
341	1. Long-term loans and borrowings; and financial leases		-	-
342	<i>Long-term loans and borrowings</i>			
343	<i>Long-term financial leases</i>			
344	2. Long-term financial assets borrowings			
345	3. Long-term convertible bonds – debt component			
346	4. Long-term issued bonds		687,000,000,000	687,500,000,000
347	5. Long-term payables to suppliers			
348	6. Long-term advances from customers			
349	7. Long-term accrued expenses			
350	8. Long-term internal payables			
351	9. Long-term unearned income		33,511,108,967	33,511,108,967
352	10. Long-term pledges and deposits of customers		449,122,518	453,246,600
353	11. Other long-term payables		-	-
354	12. Provision for long-term payables		-	-
355	13. Investors protection fund		-	-
356	14. Deferred tax payable		1,490,136,817	1,812,751,858
357	15. Science and technology development fund		-	-
400	D. OWNER'S EQUITY		6,860,458,957,576	6,727,609,014,291
410	I. Owner's equity		6,860,458,957,576	6,727,609,014,291
411	1. Shareholder's equity		4,824,902,167,361	4,825,142,703,161
411.1	a. Charter capital		4,800,636,840,000	4,800,636,840,000
411.1a	- Statutory capital		300,000,000,000	300,000,000,000
411.1b	- Supplemental capital		4,500,636,840,000	4,500,636,840,000
411.2	b. Share premium		29,285,860,000	29,285,860,000
411.3	c. Convertible bonds options – Equity component			
411.4	d. Other capital		(4,609,514,439)	(4,609,514,439)
411.5	e. Treasury shares		(411,018,200)	(170,482,400)
412	2. Difference arising from revaluation of assets at fair value		(3,321,140,254)	(3,123,757,542)
413	3. Foreign exchange rate difference		26,348,471,111	31,013,180,718
414	4. Charter capital reserves		268,076,952,217	268,076,952,217
415	5. Reserves for financial and operating risk		183,569,357,533	183,569,357,533
416	6. Other owners' funds		-	-
417	7. Retained earnings		1,480,071,480,920	1,341,790,215,838
417.1	a. Unrealised earnings		1,702,034,341,350	1,528,039,483,892
417.2	b. Realised earnings		(221,962,860,430)	(186,249,268,054)
418	8. Non-controlling interest		80,811,668,688	81,140,362,366
420	II. Other funds			
440	TOTAL LIABILITIES AND OWNER'S EQUITY		12,521,329,548,847	12,153,431,597,528
450	PROFITS DISTRIBUTED TO OWNERS			
451	Profits distributed to owners during current year			

OFF CONSOLIDATED FINANCIAL POSITION STATEMENT ITEMS
As at 31 March 2016

Code	ITEMS	Notes	Ending balance	Opening balance (restated)
	A. ASSETS AND ASSETS MANAGED UNDER COMMITMENTS OF THE COMPANY			
001	1. Leased fixed assets		-	-
002	2. Custody valuable papers		-	-
003	3. Collateral assets		-	-
004	4. Bad debts written off		-	-
005	5. Foreign currencies (USD)		5,022,593	4,994,978
006	6. Share in circulation (Quantity)		480,033,339	480,057,365
007	7. Treasury shares (Quantity)		30,345	6,319
008	8. Tradable listed/registered at Vietnam Securities Depository financial assets of the Company (Quantity)		34,787,487	32,748,913
008.1	a. <i>Tradable financial assets free to transfer</i>		16,242,821	14,403,247
008.2	b. <i>Tradable financial assets limited to transfer</i>		202,000	-
008.3	c. <i>Pledged tradable financial assets</i>		18,342,666	18,342,666
008.4	d. <i>Blockaded financial assets</i>		-	-
008.5	e. <i>Financial assets awaiting for settlement</i>		-	3,000
008.6	f. <i>Financial assets awaiting for lending</i>		-	-
008.7	g. <i>Financial assets collateralized for borrowings</i>		-	-
009	9. Financial assets registered but not yet traded at Vietnam Securities Depository of the Company (Quantity)		1,542,880	1,542,885
009.1	a. <i>Financial assets registered but not yet traded at Vietnam Securities Depository free to transfer</i>		1,442,880	1,442,885
009.2	b. <i>Financial assets registered but not yet traded at Vietnam Securities Depository limited to transfer</i>		100,000	100,000
009.3	c. <i>Pledged financial assets registered but not yet traded at Vietnam Securities Depository</i>		-	-
009.4	d. <i>Blockaded financial assets registered but not yet traded at Vietnam Securities Depository</i>		-	-
010	10. Financial assets awaiting for receipt of the Company (Quantity)		12,001,331	158,755
011	11. Financial assets used to correct trading errors of the Company (Quantity)		-	-
012	12. Financial assets unregistered at Vietnam Securities Depository of the Company (Quantity)		4,402,741	4,402,741
013	13. Entitled financial assets of the Company (Quantity)		243,779	176

Code	ITEMS	Notes	Ending balance	Opening balance (restated)
	B. ASSETS AND LIABILITIES ON ASSETS MANAGED UNDER COMMITMENTS OF INVESTORS		-	-
Securities Quantity				
021	1. Tradable listed/registered at Vietnam Securities Depository financial assets of investors		2,338,892,814	2,222,237,114
021.1	a. <i>Tradable financial assets free to transfer</i>		1,911,364,588	1,813,521,043
021.2	b. <i>Tradable financial assets limited to transfer</i>		90,529,843	74,857,105
021.3	c. <i>Pledged tradable financial assets</i>		286,917,799	275,976,432
021.4	d. <i>Blockaded financial assets</i>		-	-
021.5	e. <i>Financial assets awaiting for settlement</i>		50,080,584	57,882,534
021.6	a. <i>Financial assets awaiting for lending</i>		-	-
022	2. Financial assets registered but not yet traded at Vietnam Securities Depository of investors		77,566,246	101,347,832
022.1	a. <i>Financial assets registered but not yet traded at Vietnam Securities Depository free to transfer</i>		37,666,535	52,706,023
022.2	b. <i>Financial assets registered but not yet traded at Vietnam Securities Depository limited to transfer</i>		5,230,583	13,972,681
022.3	c. <i>Pledged financial assets registered but not yet traded at Vietnam Securities Depository</i>		34,669,128	34,669,128
022.4	d. <i>Blockaded financial assets registered but not yet traded at Vietnam Securities Depository</i>		-	-
023	3. Financial assets awaiting for receipt of investors		43,896,475	51,201,962
024a	4. Financial assets used to correct trading errors of investors		-	-
024b	5. Financial assets unregistered at Vietnam Securities Depository of investors		2,393,881	2,291,250
025	6. Entitled financial assets of investors		8,038,557	2,185,917
Vietnam Dong				
026	7. Investors' deposits		1,609,113,884,912	1,244,049,806,891
027	a. <i>Investors' deposits for securities brokerage activities</i>		1,555,085,287,393	1,241,656,219,531
027.1	- <i>Investors' deposits for securities trading activities managed by the Company</i>		1,546,134,103,874	1,239,463,316,338
027.2	- <i>Investors deposits for securities trading activities managed by banks</i>		8,951,183,519	2,192,903,193
028	b. <i>Investors' deposits for securities trading synthetic</i>		-	-
029	c. <i>Investors' deposits for securities clearing and settlement activities</i>		-	-

Code	ITEMS	Notes	Ending balance	Opening balance (restated)
029.1	- Domestic investors' deposits for securities clearing and settlement activities		-	-
029.2	- Foreign investors' deposits for securities clearing and settlement activities		-	-
030	d. Deposits of securities issuers		54,028,597,519	2,393,587,360
031	1. Payables to investors on securities trading deposits managed by the Company		1,546,134,103,874	1,239,463,316,338
031.1	a. Payables to domestic investors on securities trading deposits managed by the Company		1,449,468,511,444	1,136,419,483,706
031.2	b. Payables to foreign investors on securities trading deposits managed by the Company		96,665,592,430	103,043,832,632
032	2. Payables to investors on securities trading deposits managed by banks		8,951,183,519	2,192,903,193
032.1	a. Payables to domestic investors on securities trading deposits managed by banks		200,988,025	56,841,750
032.2	b. Payables to foreign investors on securities trading deposits managed by banks		8,750,195,494	2,136,061,443
033	1. Payables to securities issuers		4,475,972,160	81,800,000
034	2. Receivables/payables to investors relating to financial assets trading errors		-	-
035	3. Dividends, bonds' principal and interest payables		49,552,625,359	2,311,787,360

Saigon Securities Incorporation

B03b - CTCK/HN

INTERIM CONSOLIDATED CASH FLOW STATEMENT For the three-month period ended 31 March 2016

(Circular No. 210/2014/TT-BTC dated 30 December 2014)

Currency: VND

Code	ITEMS	Note	Accumulated	
			Current year	Prior year (restated)
	I. CASH FLOWS FROM OPERATING ACTIVITIES			
01	1. Profit before tax		168,643,955,764	155,169,849,237
02	2. Adjustments		(109,737,658,402)	(124,437,971,824)
03	Depreciation and amortization of fixed assets		5,123,112,542	5,435,801,023
04	Provision		6,519,333,122	(41,588,276)
05	(Gain)/loss from unrealised foreign exchange rate difference			
06	Accrued, prepaid expenses		(1,380,318,892)	(9,775,605,779)
07	(Gain)/loss from investments (investments in subsidiaries, associates and joint venture)		(66,656,990,299)	(64,529,333,349)
08	Accrued interest		(110,452,380,048)	(76,031,474,640)
09	Other adjustments		57,109,585,173	20,504,229,197
	- Interest expenses		57,109,585,173	20,504,774,652
	- Others		-	(545,455)
10	3. Increase of non-monetary expenses		47,112,345,025	30,506,007,570
11	Loss from revaluation of financial assets FVTPL		47,112,345,025	30,506,007,570
12	Loss from diminution in value of HTM investments			
13	Loss from diminution in value of loans and receivables			
14	Loss from revaluation of AFS financial assets when reclassifying			
15	Diminution in value of fixed assets and investment property			
16	Provision expense for diminution in value of long-term investments			
17	Other losses			
18	4. Decrease of non-monetary revenue		(12,784,524,864)	(7,228,061,768)
19	Gain from revaluation of financial assets FVTPL		(11,398,752,649)	(7,228,061,768)
20	Gain from revaluation of financial assets AFS		-	-
21	Other gains		(1,385,772,215)	-
30	5. Operating income before changes in working capital		93,234,117,523	54,009,823,215
31	(Increase)/decrease of financial assets FVTPL		(201,597,396,345)	(50,193,023,551)
32	(Increase)/decrease of HTM investments		44,742,778,747	430,566,450,069
33	(Increase)/decrease of loan and receivables		(138,059,308,151)	(213,030,243,559)
34	(Increase)/decrease of financial assets AFS		(44,505,607,429)	(26,316,638,000)
35	(Increase)/decrease of receivables from sale of financial assets		-	(260,170,000)
36	(Increase)/decrease of dividend, interest receivables from financial assets		-	-
37	(Increase)/decrease of receivables from rendering of services		(10,453,103,786)	6,687,107,949

Code	ITEMS	Note	Accumulated	
			Current year	Prior year (restated)
38	(Increase)/decrease of receivables from financial assets trading errors		-	-
39	(Increase)/decrease of other receivables		(1,502,090,495)	1,033,508,749
40	(Increase)/decrease of other assets		(2,428,354,151)	(3,841,338,442)
41	Increase/(decrease) of short-term loans and borrowings		-	-
42	Increase/(decrease) of financial assets borrowings		-	-
43	Increase/(decrease) of convertible bonds – debt component		-	-
44	Increase/(decrease) of bonds issued		-	-
45	Increase/(decrease) of borrowings from Settlement Assistance Fund		-	-
46	Increase/(decrease) of payables to suppliers		(2,797,274,130)	(2,683,010,287)
47	Increase/(decrease) of employee benefits		54,390,692	22,175,000
48	Increase/(decrease) of statutory obligations		446,825,652	13,857,904,824
49	Increase/(decrease) of payables to employees		(344,641,667)	(368,000,000)
50	Increase/(decrease) of financial assets trading errors payables		-	-
51	Increase/(decrease) of other payables		(61,175,965,812)	(52,753,614,826)
52	Other proceeds from operating activities		123,560,066,767	74,766,351,515
	- Interests and dividends received		109,804,635,767	74,763,126,515
	- Others		13,755,431,000	3,225,000
53	Other payments for operating activities		(187,282,891,963)	(57,967,743,539)
	- Interest paid		(75,635,123,312)	(11,300,352,385)
	- CIT paid		(75,396,720,946)	(23,321,651,330)
	- Others		(36,251,047,705)	(23,345,739,824)
60	Net cash flows from operating activities		(388,108,454,548)	173,529,539,117
	II. CASH FLOWS FROM INVESTMENT ACTIVITIES			
61	1. Payment for purchases or construction of fixed assets and other long term assets		(4,552,125,775)	(947,246,079)
62	2. Proceeds from disposal of fixed assets and other long-term assets		-	545,455
63	3. Payment to investments in subsidiaries, associates, joint ventures and other investments		(680,000,000,000)	(716,067,276,660)
64	4. Proceed from disposal of investments in subsidiaries, associates, joint venture and other investments		450,000,000,000	159,829,540,000
65	5. Interest and dividend received from long-term financial investments		45,740,937,526	24,150,170,000
70	Net cash flows from investment activities		(188,811,188,249)	(533,034,267,284)
	III. CASH FLOWS FROM FINANCIAL ACTIVITIES			
71	1. Proceeds from share issuance, capital contribution, liquidation of treasury shares		-	52,542,860,000
72	2. Capital redemption and payments for purchase of treasury shares		(240,535,800)	(169,830,000)
73	3. Proceeds from borrowings		8,349,679,055,407	5,603,341,049,185
73.1	a. Proceeds from borrowings from Settlement Assistance Fund			

Code	ITEMS	Note	Accumulated	
			Current year	Prior year (restated)
73.2	b. Proceeds from other borrowings		8,349,679,055,407	5,603,341,049,185
74	4. Payments to borrowings		(7,961,676,464,536)	(5,141,330,349,532)
74.1	a. Payments to borrowings from Settlement Assistance Fund			
74.2	b. Payments to financial assets borrowings			
74.3	c. Payments to other borrowings		(7,961,676,464,536)	(5,141,330,349,532)
75	5. Payments to financial lease		-	-
76	6. Dividend, profit distributed to owners		-	-
80	Net cash flows from financial activities		387,762,055,071	514,383,729,653
90	IV. NET INCREASE/(DECREASE) IN CASH AND CASH EQUIVALENT DURING THE YEAR		(189,157,587,726)	154,879,001,486
101	V. CASH AND CASH EQUIVALENT AT THE BEGINNING OF THE YEAR		746,360,295,275	1,007,648,569,007
102	Deposits at banks at the beginning of the year:			
102.1	a. Deposits at banks for business operations		511,361,582,848	632,648,569,007
102.2	b. Cash equivalent		235,000,000,000	375,000,000,000
102.3	c. Impact of foreign exchange rate difference		(1,287,573)	
103	VI. CASH AND CASH EQUIVALENT AT THE END OF THE YEAR		557,202,707,549	1,162,527,570,493
104	Deposits at banks at the end of the year:			
104.1	d. Deposits at banks for business operations		463,158,430,631	777,027,570,493
104.2	e. Cash equivalent		95,412,916,665	385,500,000,000
104.4	f. Impact of foreign exchange rate difference		(1,368,639,747)	-

Saigon Securities Incorporation

INTERIM CONSOLIDATED CASH FLOWS RELATING TO BROKERAGE AND TRUSTED ACTIVITIES OF CUSTOMERS For the three-month period ended 31 March 2016

Currency: VND

Code	ITEMS	Note	Accumulated	
			Current year	Prior year (restated)
	I. CASH FLOWS RELATING TO BROKERAGE AND TRUSTED ACTIVITIES OF CUSTOMERS			
01	Proceeds from sale of securities of customers under brokerage contracts		16,121,380,471,067	10,395,213,353,980
02	Payments to purchase of securities for customers under brokerage contracts		(17,700,440,465,100)	(10,971,279,242,554)
03	Proceeds from sale of trusted securities of customers			
04	Payments to purchase of trusted securities for customers			
05	Proceeds from borrowings from Settlement Assistance Fund			
06	Payments to borrowings from Settlement Assistance Fund			
07	Proceeds from securities settlement deposits of customers		2,269,031,283,730	879,692,977,509
08	Payments to securities settlement deposits of customers			
09	Payments of custody expenses of customers		(4,590,946,657)	(3,208,454,545)
10	Proceeds from securities trading errors			
11	Payments to securities trading errors			
12	Proceeds from securities issuers		46,325,687,500	386,543,152,500
13	Payments to securities issuers		(366,641,952,519)	(251,283,772,337)
20	Net increase/(decrease) in cash and cash equivalent of customers during the year		365,064,078,021	435,678,014,553
	I. CASH AND CASH EQUIVALENT OF CUSTOMERS AT THE BEGINNING OF THE YEAR			
30			1,244,049,806,891	945,003,315,997
31	Deposits at banks at the beginning of the year:		1,244,049,806,891	945,003,315,997
32	Investors' deposits for securities trading activities managed by the Company		1,239,463,316,338	943,333,972,189
	<i>In which term deposits</i>			
33	Investors' deposits for securities trading activities managed by banks		2,192,903,193	810,026,688
	<i>In which term deposits</i>			
34	Investors' deposit for securities trading synthetic			
35	Investors' deposits for securities trading clearing and settlement			
36	Deposits of issuers		2,393,587,360	859,317,120
	<i>In which term deposits</i>			
37	Cash equivalent			
38	Impact of foreign exchange rate			

Code	ITEMS	Note	Accumulated	
			Current year	Prior year (restated)
40	II. CASH AND CASH EQUIVALENT OF CUSTOMERS AT THE END OF THE YEAR		1,609,113,884,912	1,380,681,330,550
41	Deposits at banks at the beginning of the year:		1,609,113,884,912	1,380,681,330,550
42	Investors' deposits for securities trading activities managed by the Company <i>In which term deposits</i>		1,546,134,103,874	1,312,106,082,244
43	Investors' deposits for securities trading activities managed by banks <i>In which term deposits</i>		8,951,183,519	2,323,320,336
44	Investors' deposit for securities trading synthetic			
45	Investors' deposits for securities trading clearing and settlement			
46	Deposits of issuers <i>In which term deposits</i>		54,028,597,519	66,251,927,970
47	Cash equivalent			
48	Impact of foreign exchange rate			

INTERIM CONSOLIDATED STATEMENT OF CHANGES IN OWNERS' EQUITY
For the three-month period ended 31 March 2016

Currency: VND

ITEMS	Notes	Beginning balance		Increase/(decrease)				Ending balance	
		1-Jan-2016	1-Jan-2015	For the year 2016		For the year 2015		31-Mar-2016	31-Mar-2015
				Increase	Decrease	Increase	Decrease		
A	B	1	2	3	4	5	6	7	8
I. Changes in owners' equity									
1. Owners' equity		4,825,313,185,561	3,875,960,890,683	-	-	764,801,930,000	345,479,409,151	4,825,313,185,561	4,295,283,411,532
a. Legal capital		300,000,000,000	300,000,000,000					300,000,000,000	300,000,000,000
b. Supplemental capital		4,500,636,840,000	3,237,949,420,000			735,378,570,000		4,500,636,840,000	3,973,327,990,000
c. Share premium		29,285,860,000	342,196,810,218			29,423,360,000	342,245,310,218	29,285,860,000	29,374,860,000
d. Convertible bonds options – equity component								-	-
e. Other capital		(4,609,514,439)	(4,185,339,535)				3,234,098,933	(4,609,514,439)	(7,419,438,468)
2. Treasury shares		(170,482,400)	(54,900)	(240,535,800)		(169,830,000)		(411,018,200)	(169,884,900)
3. Charter capital reserves		268,076,952,217	228,753,241,307					268,076,952,217	228,753,241,307
4. Reserves for financial and operating risk		183,569,357,533	144,245,646,623					183,569,357,533	144,245,646,623
5. Difference arising from revaluation of assets at fair value		(3,123,757,542)	(13,462,919,042)	24,532,598	221,915,310	358,442,175	1,768,822,644	(3,321,140,254)	(14,873,299,511)
6. Foreign exchange rate differences		31,013,180,718			4,664,709,607	13,481,822,213		26,348,471,111	13,481,822,213
7. Other funds								-	-
8. Undistributed earnings		1,341,790,215,838	1,455,453,294,270	188,844,244,675	50,562,979,593	143,574,261,013	400,803,141,976	1,480,071,480,920	1,198,224,413,307
8.1. Realised earnings		1,528,039,483,892	1,923,556,624,130	177,445,492,026	3,450,634,568	136,346,199,245	370,297,134,403	1,702,034,341,350	1,689,605,688,972
8.2. Unrealised earnings		(186,249,268,054)	(468,103,329,860)	11,398,752,649	47,112,345,025	7,228,061,768	30,506,007,573	(221,962,860,430)	(491,381,275,665)
9. Non-controlling interest		81,140,362,365	82,855,645,584		328,693,677		253,944,491	80,811,668,688	82,601,701,093
TOTAL		6,727,609,014,290	5,773,805,744,525	188,628,241,473	55,778,298,187	922,046,625,401	748,305,318,262	6,860,458,957,576	5,947,547,051,664

ITEMS	Notes	Beginning balance		Increase/(decrease)				Ending balance	
		1-Jan-2016	1-Jan-2015	For the year 2016		For the year 2015		31-Mar-2016	31-Mar-2015
				Increase	Decrease	Increase	Decrease		
A	B	1	2	3	4	5	6	7	8
II. OTHER COMPREHENSIVE INCOME									
1. Gain/(loss) from revaluation of AFS financial assets		(3,123,757,542)	(13,462,919,042)	24,532,598	221,915,310	358,442,175	1,768,822,644	(3,321,140,254)	(14,873,299,511)
2. The Company's portion in comprehensive income of associates, joint venture									
3. Gain/(loss) from revaluation of derivatives									
4. Gain/(loss) from trading transactions in foreign countries									
5. Increase/(decrease) in capital contribution in subsidiaries									
6. Purchase of Investments in subsidiaries									
7. Liquidation of investments in subsidiaries									
8. Purchasing treasury shares									
9. Liquidating treasury shares									
10. Changes in capital portion of non-controlling investors									
TOTAL		(3,123,757,542)	(13,462,919,042)	24,532,598	221,915,310	358,442,175	1,768,822,644	(3,321,140,254)	(14,873,299,511)