



Ho Chi Minh City, May 26, 2015

Ref: 838/2015/CV-SSIHO

Re: Change in number of shares hold by internal shareholders,  
related parties of internal shareholders

**INFORMATION DISCLOSURE ON ELECTRONIC PORTAL  
OF THE STATE SECURITIES COMMISSION AND HCMC STOCK EXCHANGE**

To:           **- Ho Chi Minh City Stock Exchange**  
              **- Ha Noi Stock Exchange**  
              **- The State Securities Commission**

Name of the Company:           **SAIGON SECURITIES INC.**  
Symbol code:                    **SSI**  
HQ address:                    72 Nguyen Hue Street, Ben Nghe Ward, District 1, HCMC, Vietnam  
Telephone:                      (848) 38242897  
Fax:                               (848) 38242997  
Spokesman:                    Nguyễn Hồng Nam  
Address:                        72 Nguyen Hue Street, Ben Nghe Ward, District 1, HCMC, Vietnam  
Telephone (office):           (848) 38242897  
Fax:                               (848) 38242997

Type of information   ☐ 24 hours   ☐ 72 hours   ☒ irregular info.   ☐ on demand   ☐ periodic info.

Information to disclose:

Pursuant to 9<sup>th</sup> Amendment Securities Registration Certificate No. 35/2006/GCNCP-VSD-9 issued by Vietnam Securities Depository on 22/05/2015 for 71,221,057 shares issued to increase shareholding capital from equity according to the Resolution No.02/2014/NQ-DHDCD of the General Shareholders' Meeting on 22/12/2014, Saigon Securities Inc. (SSI) ) hereby announces change in number of shares hold by internal shareholders and related parties of internal shareholders (according to the record date on 10/03/2015):

No.	Name of shareholder	Title/Relationship	Number of shares before issuance	Number of shares issued	Number of shares after issuance	Rate
<b>A. Internal shareholders</b>						
1	Nguyen Duy Hung	Chairman/CEO	245,000	49,000	294,000	0.07%
2	Nguyen Hong Nam	Member of Board of Directors (BOD)/Deputy CEO/Authorized Spokesman	96,000	19,200	115,200	0.03%
3	Ngo Van Diem	Member of BOD	40,000	8,000	48,000	0.01%
4	Bui Quang Nghiem	Member of BOD	153,920	30,784	184,704	0.04%
5	Nguyen Van Khai	Head of Supervisory Board (SB)	42,012	8,402	50,414	0.01%
6	Dang Phong Luu	Member of SB	33,840	6,768	40,608	0.01%

No.	Name of shareholder	Title/Relationship	Number of shares before issuance	Number of shares issued	Number of shares after issuance	Rate
7	Ho Thi Huong Tra	Member of SB	17,168	3,433	20,601	0.005%
8	Nguyen Thi Thanh Ha	CFO	85,000	17,000	102,000	0.02%
9	Hoang Thi Minh Thuy	Chief Accountant	33,000	6,600	39,600	0.01%
<b>B. Related parties of internal shareholders</b>						
1	NDH Investment Limited Liability Company (Mr. Nguyen Duy Hung is the owner and chairman of this company)	Related party of Mr. Nguyen Duy Hung, the Chairman cum CEO of SSI	40,299,036	8,059,807	48,358,843	11.32%
2	Daiwa Securities Group Incorporation (Mr. Hironori Oka is a representative of Daiwa)	Related party off Mr. Hironori Oka, member of BOD of SSI	35,471,420	7,094,284	42,565,704	9.96%
3	Sai Gon Dan Linh Real Estate Limited Liability Company (Mr. Nguyen Hong Nam is the Chairman and a capital contributing partner)	Related party of Mr. Nguyen Hong Nam, member of BOD cum Deputy CEO of SSI	25,247,988	5,049,597	30,297,585	7.09%

This information was posted on SSI website on May 26, 2015 at this link [www.ssi.com.vn](http://www.ssi.com.vn).

The Company hereby declares to be responsible for the accuracy and completeness of the above information

**Spokesman**

*(signed and sealed)*

**Nguyen Hong Nam**  
**Deputy General Director**