

Ref: 1267/2015/CV-SSIHO
 Re: collecting shareholder's written opinions

Ho Chi Minh City, 21 August 2015

To: Valued Shareholder

Dear Valued Shareholder,

Pursuant to the Charter of Saigon Securities Inc. and the Resolution No. 05/2015/NQ-HDQT dated 31 July 2015 of the Board of Directors, Saigon Securities Inc. is writing this letter to collect written opinions of shareholders to amend the Charter, details as follows:

- Name of the Company: Saigon Securities Inc.
- Head office: 72 Nguyen Hue Street, Ben Nghe Ward, District 1, Ho Chi Minh City, Vietnam
- Business Registration Certificate No. 056679 dated 30/12/1999 issued by Ho Chi Minh City Department of Planning and Investment.
- Securities Operation Certificate No. 03/GPHDKD dated 5 April 2000 issued by the Chairman of State Securities Commission and amended by Certificate No. 24/GPDC-UBCK dated 18 May 2015.
- Purpose of collecting written opinions: to amend the Charter to extend foreign ownership at the Company.
- Matters to be considered: to amend the Charter as following:

To insert clause 4 Article 11 on the Rights of shareholders "4. Foreign shareholders and local shareholders shall have equal rights and obligations. The foreign ownership is unlimited, unless otherwise stipulated by laws."

Legal basis: The Government passed the Decree 60/2015/NĐ-CP dated 26 June 2015 to amend Decree 58/2012/NĐ-CP providing guidance on the Law on Securities. Article 1.21 of this Decree allows foreign investors to own up to 100% charter capital of a securities company in Vietnam.

Shareholders shall give opinions by filling in enclosed Voting slip and send it to the Board of Directors by **08 September 2015**:

- i. Send via facsimile to: (84-8) 38242997 or (84-4) 39366311;
- ii. Scan and send via email to: investorrelation@ssi.com.vn;
- iii. Send via post office to the Head office of the Company.

The Board of Directors will aggregate opinions of shareholders and announce the result of voting in accordance with the Charter of Saigon Securities Inc.

Sincerely,

ON BEHALF OF THE BOARD OF DIRECTORS
 THE CHAIRMAN



Nguyễn Duy Hưng
 Nguyễn Duy Hưng



VOTING SLIP

(Issued with the letter No. 1267/2015/CV-SSIHO dated 21/8/2015)

Full Name of Shareholder:

Trading code:

Shareholder's Code:

Number of Shares Owned:

Content of voting:

(Tick a corresponding box)

1. To amend the Charter of Saigon Securities Inc.

To insert clause 4 Article 11 on the Rights of shareholders "4. Foreign shareholders and local shareholders shall have equal rights and obligations. The foreign ownership is unlimited, unless otherwise stipulated by laws."

Agree

Disagree

No opinion

.....2015

Signature



DRAFT

Ho Chi Minh City, September 2015

RESOLUTION
OF THE GENERAL SHAREHOLDERS' MEETING OF
SAIGON SECURITIES INC
THE GENERAL SHAREHOLDERS' MEETING
SAIGON SECURITIES INC.

Pursuant to:

- *Law on Enterprise of 2014;*
- *The Company Charter of Saigon Securities Inc.; and*
- *Vote counting minutes asking for shareholders' written opinions made on..... September 2015*

RESOLVED THAT:

Article 1. To amend the Charter of Saigon Securities Inc.

To insert clause 4 Article 11 on the Rights of shareholders "4. Foreign shareholders and local shareholders shall have equal rights and obligations. The foreign ownership is unlimited, unless otherwise stipulated by laws."

Article 2. Implementation

This Resolution shall take effect from the signing date. The Board of Directors is responsible to implement and supervise the implementation of this Resolution in accordance with the contents approved by the General Shareholders' Meeting.

To:

- Shareholders;
- The Board of Directors;
- Inspection Committee;
- Filed.

For and on behalf of the General Shareholders' Meeting

THE CHAIRMAN

Nguyen Duy Hung