

**SAIGON SECURITIES INC.**  
-----

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independent - Freedom - Happiness**  
-----

No.: 1052/2016/CV-SSIHO

Ho Chi Minh City, 5 October 2016

## **INFORMATION DISCLOSURE ON REDEMPTION OF SHARES**

**To: - The State Securities Commission**  
**- Ho Chi Minh City Stock Exchange**

### **I. Introduction on the transaction of redemption of shares:**

1. Name of the company registering the transaction of redemption of shares: **SAIGON SECURITIES INC.**
2. Transaction name: SAIGON SECURITIES INC.
3. Head office address: 72 Nguyen Hue, Ben Nghe Ward, District 1, Ho Chi Minh City
4. Telephone: 08-38242897 Fax: 08-38242997
5. Symbol code: SSI
6. Par value: VND10,000/share
7. Charter capital: VND4,800,636,840,000
8. Place of opening account: Saigon Securities Inc. Account No.: 003P888888
9. Legal grounds of business activities:
  - Business license No. 03/GPHDKD dated 05/4/2000 issued by the State Securities Commission as amended and supplemented by Amended Business License 55/GPĐC-UBCK ngày 26/11/2015
  - Main business lines: Securities business, Securities self-trading, Investment portfolio management, Securities underwriting
  - Main product/service: Securities brokerage, Securities investment consultancy, Securities depository, Securities underwriting
  - Total business capital: VND7,079,585,169,783 (Source: the reviewed bi-annual consolidated financial statement of the year 2016)

### **II. Method of the transaction of shares**

1. Total treasury shares hold by the company before implementing transaction: 30,366 shares.
2. Total number of shares to be redeemed: maximum 300,500 shares (Three hundred thousand), of which:
  - Redemption of maximum 300,000 shares (Three hundred shares) issued under the employee stock option plan as a result of resignation of the employees
  - Redemption of maximum 500 shares (Five hundred shares) of odd lot shares as requested by the investor having trading account opened at Saigon Securities Inc.



3. Purpose of redemption of shares: Redemption of shares issued under the employee stock option plan as a result of resignation of the employees and redemption of odd lot shares as requested by the investor having trading account opened at Saigon Securities Inc.

4. Source of capital: share premium and retained profit to 30 June 2016 pursuant to the reviewed consolidated financial statement of the year 2016

5. Estimated time of the transaction: from 17 October 2016 to 21 October 2016

6. Method of transaction: transfer at the issuer or conducted via Vietnam Securities Depository

7. Price determination principle:

- With respect to shares redeemed from resigned employees: VND10,000/shares minus value of right and benefit corresponding to each redeemed share received by the employee (or pending credited to the account) -calculated from 23 October 2015 to the date of redemption.
- With respect to odd lot shares redeemed from the investor: follow the price band at the date of transaction

8. Daily number of shares to be redeemed: not applicable

9. Name of the securities company to be appointed as the agent for the transaction of share redemption: Saigon Securities Inc.

- Address: 72 Nguyen Hue, Ben Nghe Ward, District 1, Ho Chi Minh City

- Telephone: 08-38242897

- Trading account: 003P888888



**SAIGON SECURITIES INC.**  
**CHAIRMAN OF THE MANAGEMENT BOARD**



*Handwritten signature*

**Nguyen Duy Hung**