



Số/No: 507/2026/CV-SSI.CTHĐQT

V/v: Thông báo thay đổi nhân sự thành viên Hội đồng quản trị

Re: Announce the change in personnel of member of the Board of Directors

TP. Hồ Chí Minh, ngày 24 tháng 4 năm 2026

Ho Chi Minh City, April 24th, 2026

**CÔNG BỐ THÔNG TIN
TRÊN CÔNG THÔNG TIN ĐIỆN TỬ CỦA ỦY BAN
CHỨNG KHOÁN NHÀ NƯỚC VÀ SGĐCK**

**DISCLOSURE OF INFORMATION
ON THE STATE SECURITIES COMMISSION'S
PORTAL AND STOCK EXCHANGE'S PORTAL**

Kính gửi/To: - Ủy ban Chứng khoán Nhà nước/ *The State Securities Commission*
- Sở Giao dịch Chứng khoán Việt Nam/ *Vietnam Stock Exchange*
- Sở Giao dịch Chứng khoán TP. Hồ Chí Minh/ *Hochiminh Stock Exchange*
- Sở Giao dịch Chứng khoán Hà Nội/ *Hanoi Stock Exchange*

Công ty: **CÔNG TY CỔ PHẦN CHỨNG KHOÁN SSI**
Organization name **SSI SECURITIES CORPORATION**
Mã chứng khoán: SSI
Ticker SSI
Địa chỉ trụ sở chính: 72 Nguyễn Huệ, Phường Sài Gòn, TP. Hồ Chí Minh
Address 72 Nguyen Hue, Sai Gon Ward, Ho Chi Minh City
Điện thoại/Telephone: 028-38242897
Fax: 028-38242997
Người thực hiện công bố thông tin: Nguyễn Kim Long
Spokesman *Nguyen Kim Long*
Chức vụ: Giám đốc Luật và Kiểm soát tuân thủ
Position *Director, Legal and Compliance*

Loại thông tin công bố 24 giờ bất thường theo yêu cầu định kỳ

Information disclosure type 24 hours irregular on demand periodic

Nội dung thông tin công bố/ *Content of information disclosure:*

Căn cứ Nghị quyết số 01/2026/NQ-SSI.ĐHĐCĐ ngày 23/4/2026 và Biên bản họp ngày 23/4/2026 của Đại hội đồng cổ đông thường niên năm 2026 Công ty Cổ phần Chứng khoán SSI, chúng tôi trân trọng thông báo việc thay đổi nhân sự của Công ty Cổ phần Chứng khoán SSI như sau:

Pursuant to Resolution No. 01/2026/NQ-SSI.ĐHĐCĐ dated April 23rd, 2026 and the Meeting Minutes dated April 23rd, 2026 of the 2026 Annual General Meeting of Shareholders of SSI Securities Corporation, we would like to announce a change in personnel of SSI Securities Corporation as follows:



1. Trường hợp miễn nhiệm/ *In case of dismissal*

- Ông/ *Mr.*: Kosuke Mizuno
- Chức vụ trước khi miễn nhiệm/ *Position before dismissal*: Thành viên Hội đồng quản trị/ *Member of the Board of Directors*
- Không còn đảm nhận chức vụ/ *Dismissed position*: Thành viên Hội đồng quản trị/ *Member of the Board of Directors*
- Sau khi miễn nhiệm, Ông Kosuke Mizuno không còn là người nội bộ của Công ty/ *After the dismissal, Mr. Kosuke Mizuno is no longer an internal person of the Company*
- Lý do miễn nhiệm/ *Reason for dismissal*: Theo Đơn xin từ nhiệm thành viên Hội đồng quản trị/ *Pursuant to the Resignation letter from the position of member of the Board of Directors*
- Ngày bắt đầu có hiệu lực/ *Effective date*: 23/4/2026/ *April 23rd, 2026*

2. Trường hợp bổ nhiệm/ *In case of appointment*

- Ông/ *Mr.*: Tsutomu Hiramatsu
- Chức vụ trước khi bổ nhiệm/ *Former position before appointment*: Không có/ *None*
- Chức vụ được bổ nhiệm/ *Newly appointed position*: Thành viên Hội đồng quản trị/ *Member of the Board of Directors*
- Thời hạn bổ nhiệm/ *Term*: 2026 – 2031
- Ngày bắt đầu có hiệu lực/ *Effective date*: 23/4/2026/ *April 23rd, 2026*

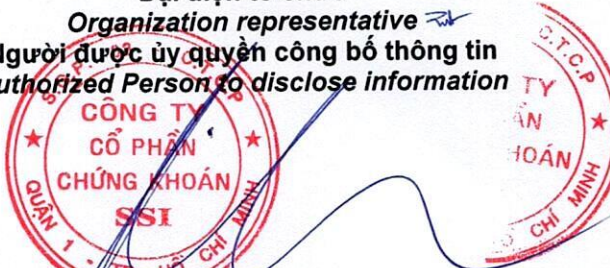
Thông tin này đã được công bố trên trang thông tin điện tử của công ty vào ngày 24/4/2026 tại đường dẫn www.ssi.com.vn.

This information was posted on SSI website on April 24th, 2026 at this link www.ssi.com.vn

Chúng tôi xin cam kết các thông tin công bố trên đây là đúng sự thật và hoàn toàn chịu trách nhiệm trước pháp luật về nội dung các thông tin đã công bố.

We hereby declare to be responsible for the accuracy and completeness of the above information

Đại diện tổ chức
Organization representative
Người được ủy quyền công bố thông tin
Authorized Person to disclose information



Nguyễn Kim Long
Giám đốc Luật và Kiểm soát tuân thủ
Director, Legal and Compliance



No: 01/2026/NQ-SSI.ĐHĐCĐ

Ho Chi Minh City, April 23rd, 2026

RESOLUTION
THE GENERAL MEETING OF SHAREHOLDERS

THE GENERAL MEETING OF SHAREHOLDERS
SSI SECURITIES CORPORATION

Pursuant to:

- *The Charter of SSI Securities Corporation;*
- *The Minutes of Annual General Meeting of Shareholders dated April 23rd, 2026.*

RESOLVED THAT:

Article 1: To approve the Report on operation of the Board of Directors (BOD), Report on operation of the Audit Committee (AC) and independent members of the BOD in the AC in 2025.

Article 2: To approve the 2025 Financial Statements audited by the Ernst & Young Vietnam Limited, the Report on the Company's 2025 Business Results and the 2026 Business Plan with the key items as follows:

The 2025 Business Results

No.	Items	Unit	Consolidated Financial Statements	Separate Financial Statements
1	Total assets	VND	94,049,979,396,183	92,975,231,411,813
2	Net revenue	VND	13,112,474,747,642	12,745,382,149,662
3	Profit before tax	VND	5,082,973,996,371	4,858,870,804,451
4	Profit after tax	VND	4,106,880,733,899	3,917,405,223,647
5	Profit after tax of the parent company's shareholders	VND	4,106,090,416,749	

The 2026 Business Plan (consolidated):

- Revenue (consolidated): VND 15,660 billion
- Profit before tax (consolidated): VND 5,838 billion

To authorize the BOD to adjust this Business Plan in accordance with market conditions in case the market growth and liquidity are not as expected,



Article 3: To approve the 2025 Profit Distribution based on the report and submission of the BOD, detailed as follows:

Total distributable profit	VND 2,750,366,713,702
<i>In which:</i>	
- Charity fund (1% on profit after tax)	VND 40,803,026,728
- Bonus, welfare fund (5% on profit after tax)	VND 204,015,133,639
- 2025 cash dividend (10% par value – VND 1,000/share)	VND 2,503,089,220,000 (*)
Remuneration of the BOD as actually paid in 2025	VND 2,459,333,335

(*): If there is any change in total number of issued shares as at the record date for shareholders entitled to receive the dividend, the BOD may increase or decrease this amount to ensure dividend is VND 1,000/share within permitted sources. Accordingly, the total distributable profit shall be changed.

Article 4: To approve the list of audit firms as the BOD's submission, including Ernst & Young Vietnam Limited, KPMG Limited, PWC (Vietnam) Limited, Deloitte Vietnam Audit Company Limited for auditing the 2026 Separate and Consolidated Financial Statements and reviewing the 2026 Separate and Consolidated semi-annual Financial Statements. To authorize the BOD to select 01 (one) of the mentioned audit firms.

Article 5: To approve the Plan on shares issuance for charter capital injection from the owner equity as follows:

1.	Name of share	SSI Securities Corporation shares
2.	Type of share	Ordinary share
3.	Par value	VND 10,000/share
4.	Expected charter capital before issuance (included 10,000,000 shares issued under the Employee Stock Ownership Plan stipulated in Article 8 of Resolution No. 01/2025/NQ-ĐHĐCĐ dated April 18 th , 2025)	VND 25,030,892,200,000
5.	Number of shares before the issuance	2,503,089,220 shares
6.	Expected number of shares for issuance	Maximum 500,617,844 shares The number of shares for issuance is determined based on the rights execution ratio of the outstanding shares of Company and method of rounding down.
7.	Total expected issuance value at par value	Maximum VND 5,006,178,440,000
8.	Ratio of rights execution	Ratio 5:1 (On the date of finalizing shareholders list for rights execution, shareholders owning 01 share shall be entitled to 01 right, shareholders owning 05 rights will receive 01 new share).

9.	Issuance recipients	All shareholders own the Company's ordinary shares on the date of finalizing shareholders list for issuance.
10.	Source of funds	From undistributed after-tax profits, share premium, development investment fund, and other funds (if any) as stated in the Company's most recent audited financial statements.
11.	Principle of rounding and handling the fractional shares	After multiplying by the rights execution ratio, the number of shares for issuance shall be rounded down to the nearest whole unit, the fractional shares (if any) shall be cancelled. Example: Shareholder A owns 506 shares, with a ratio of rights execution of 5:1, the number of shares that Shareholder A is entitled to receive is calculated as follows: $(506 \times 1)/5 = 101.2$ shares. In accordance with the rounding principle stated above, the number of new shares to be issued to Shareholder A is 101 shares. The fractional shares (0.2 share) shall be cancelled.
12.	Transfer restrictions	Those new issued shares will not be restricted to transfer
13.	Expected issuance period	In 2026 and after getting approval from the State Securities Commission. This Plan shall be implemented after the Plan on shares issuance under the 2025 employee stock ownership plan.
14.	Purpose of the issuance	Issuance of shares for charter capital injection from the owner equity
15.	Registration, depository and listing of shares	After the completion of the issuance, the Company commits to implementing the procedures on additional registration, depository for the new issued shares with Viet Nam Securities Depository and Clearing Corporation and to registering the additional listing of the new issued shares on Hochiminh Stock Exchange in compliance with the applicable laws.

The GMS hereby authorizes the BOD:

- Decide on the implementation of the issuance plan, the sequence of issuance, including the detailed contents of the issuance plan, and/or amend, supplement, adjust the issuance plan as necessary in accordance with the Company's actual circumstances, applicable laws and regulations, or at the request of competent authorities, in order to ensure the successful completion of the issuance;
- Balance and determine the source of funds to be used in accordance with applicable laws and regulations;
- Confirm the number of shares to be issued based on the actual number of outstanding shares at the time of implementation of the issuance plan;
- Select an appropriate issuance timing for the implementation of the issuance after obtaining approval from the State Securities Commission;
- Carry out procedures for amending and supplementing the Company's Charter to record the increase in charter capital resulting from the issuance;

- Approve the increase in charter capital and carry out the necessary legal procedures to amend the charter capital stated in the License on establishment and operation and the Enterprise Registration Certificate, corresponding to the total par value of the shares actually issued upon completion of the issuance;
- Carry out the necessary procedures for the additional registration and depository of securities with the Viet Nam Securities Depository and Clearing Corporation, and for the registration of the additional listing of all actually issued shares on Hochiminh Stock Exchange, in accordance with applicable laws and regulations;
- Decide on all other matters related to the issuance, additional registration, depository and listing of the shares.

Within the scope of the above authorization, the BOD is allowed to authorize the Chairman of the BOD or the Chief Executive Officer to carry out the above task(s) in accordance with applicable laws and regulations.

Article 6: To approve continuing the implementation and the amendments and supplements to the Plan on shares issuance under the employee stock ownership plan stipulated in Article 8 of Resolution No. 01/2025/NQ-ĐHĐCĐ dated April 18th, 2025 (the Plan), as follows:

1. Criteria for allocation: As set out in the attached Annex 01.

2. Transfer restrictions

Only 50% are transferable after 02 years and the remaining 50% are transferable after 03 years from the date of completion of the issuance, except for cases in which the shares are repurchased in accordance with the Regulation on shares issuance under ESOP. Any shares remaining unallocated to employees and subsequently allocated by the BOD shall be subject to the same transfer restrictions as specified above.

3. Plan for the use of proceeds from the issuance

The proceeds from the issuance will be used to supplement working capital for margin lending activities.

4. Principles for share rounding

After determining the number of ESOP shares to be allocated to employees in accordance with the formula set out in Section 1, and to ensure that the total number of issued shares does not exceed the approved issuance volume, the number of shares shall be rounded down to the nearest hundred.

5. Method for handling unallocated shares

The remaining shares not fully allocated to employees (including shares left over due to employees not exercising their purchase rights or employees no longer meeting the eligibility criteria or allocation standards during the period from the date on which the BOD approves the list of eligible employees to purchase ESOP shares to the date on which the State Securities Commission announces receipt of the complete ESOP share issuance dossier) shall be reallocated by the BOD to employees who meet the ESOP allocation criteria at the issuance price of VND 10,000 per share, or the issuance shall be terminated as decided by the BOD.

In the event that the BOD does not fully allocate such shares, the remaining unallocated shares shall be cancelled, and the BOD shall decide to conclude the issuance.

6. The GMS authorizes the BOD to:

- Decide on the implementation of the issuance plan and decide on amendments, supplements, completion of the plan on shares issuance in accordance with the actual situation, legal regulations, and requirements of competent authorities to ensure the success of the issuance;
- Decide on the plan for the Company to repurchase shares from employees and the plan for selling the repurchased shares;
- Decide on the contents of, and promulgate the Regulation on issuance for implementation of this plan;
- Decide on the appropriate timing for the ESOP issuance;
- Decide on detailed eligibility standards in accordance with those approved by the GMS, determine the list of employees eligible to participate in the plan and the specific number of shares allocated to each employee;
- Decide on the method for handling any remaining unallocated shares;
- Implement procedures for the amendment and supplementation of the Company's Charter to record the additional charter capital arising from the issuance;
- Implement procedures for additional registration and depository with VSDC and for additional listing registration with HOSE for all additionally issued shares;
- Implement the necessary legal procedures to change the charter capital in the License on establishment and operation, Enterprise Registration Certificate, which equivalently to total actual par value of issued shares after completing the issuance;
- Decide on all other matters related to the share issuance, additional registration, depository, and additional listing of shares.

Within the scope of the above authorization, the BOD is authorized to further delegate to the Chairman of the BOD or CEO to perform task(s) mentioned above in accordance with the provisions of law.

All contents of the Plan on shares issuance under the Employee Stock Ownership Plan pursuant to Resolution No. 01/2025/NQ-ĐHĐCĐ dated April 18th, 2025 that are not amended or supplemented herein shall remain fully valid and effective.

Article 7: To approve the Plan on shares issuance under Employee Stock Ownership Plan (ESOP) in 2026 as follows:

Name of share	SSI Securities Corporation shares
Type of share	Ordinary shares
Form of share	Book entries
Par value	VND 10,000/share
Potential purchaser	Members of the BOD, key personnel of SSI and its subsidiaries

Number of issued shares	Maximum 10,000,000 (ten million) shares
Issuance price	Par value VND 10,000/share
Estimated issuance period	In 2026 – 2027 or other period decided by the BOD and after getting approval from the State Securities Commission. This Plan shall be implemented after the Plan on shares issuance for charter capital injection from the owner equity
Purpose	To associate interests of employees with the Company To supplement working capital for the Company
Restricted period	Only 50% are transferable after 02 years and the remaining 50% are transferable after 03 years from the date of completion of the issuance, except for cases in which the shares are repurchased in accordance with the Regulation on shares issuance under ESOP. Any shares remaining unallocated to employees and subsequently allocated by the BOD shall be subject to the same transfer restrictions as specified above.
Plan for the use of proceeds from the issuance	The proceeds from the issuance will be used to supplement working capital for margin lending activities.
Principles for share rounding	After determining the number of ESOP shares to be allocated to employees in accordance with the formula set out in Section 1, in order to ensure that the total number of issued shares does not exceed the approved issuance volume, the number of shares shall be rounded down to the nearest hundred.
Method for handling unallocated shares	The remaining shares not fully allocated to employees (including shares left over due to employees not exercising their purchase rights or employees no longer meeting the eligibility criteria or allocation standards during the period from the date on which the BOD approves the list of eligible employees to purchase ESOP shares to the date on which the State Securities Commission announces receipt of the complete ESOP share issuance dossier) shall be reallocated by the BOD to employees who meet the ESOP allocation criteria at the issuance price of VND 10,000 per share, or the issuance shall be terminated as decided by the BOD. In the event that the BOD does not fully allocate such shares, the remaining unallocated shares shall be cancelled, and the BOD shall decide to conclude the issuance.
Registration, depository and listing of shares	Shares shall be additionally registered, deposited at the Viet Nam Securities Depository and Clearing Corporation (VSDC) and additionally listed on the Hochiminh Stock Exchange (HOSE) in accordance with regulations.
Criteria for allocation	As set out in the attached Annex 02

The GMS hereby authorizes the BOD to:

- Decide on the implementation of the issuance plan, the sequence of issuance, and decide on amendments, supplements, completion of the plan on shares issuance in accordance with the

actual situation, legal regulations, and requirements of competent authorities to ensure the success of the issuance;

- Decide on the plan for the Company to repurchase shares from employees and the plan for selling the repurchased shares;
- Decide on the contents of, and promulgate the Regulation on issuance for implementation of this plan;
- Decide on the appropriate timing for the ESOP issuance;
- Decide on detailed eligibility standards in accordance with those approved by the GMS, determine the list of employees eligible to participate in the plan and the specific number of shares allocated to each employee;
- Decide on the method for handling any remaining unallocated shares;
- Implement procedures for the amendment and supplementation of the Company's Charter to record the additional charter capital arising from the issuance
- Implement procedures for additional registration and depository with VSDC and for additional listing registration with HOSE for all additionally issued shares
- Implement the necessary legal procedures to change the charter capital in the License on establishment and operation, Enterprise Registration Certificate, which equivalently to total actual par value of issued shares after completing the issuance
- Decide on all other matters related to the share issuance, additional registration, depository, and additional listing of shares

Within the above scope of authorization, the BOD is allowed to authorize the Chairman of the BOD or the Chief Executive Officer to implement above task(s) in compliance with applicable laws.

Article 8: To approve the dismissal and election of member of the BOD, including:

1. To dismiss Mr. Kosuke Mizuno from his position as a member of the BOD.
2. To elect Mr. Tsutomu Hiramatsu of the BOD with a 5-year term starting from April 23rd, 2026.

Article 9: This Resolution shall take effect from the date of issuance. The GMS assigns the BOD and the Board of Management to implement this Resolution.

**For and on behalf of the General Meeting of Shareholders
Chairman of the Board of Directors**



Nguyen Duy Hung

ANNEX 01

CRITERIA FOR ALLOCATION OF ESOP SHARES

(Attached to Resolution of the General Meeting of Shareholders No. 01/2026/NQ-SSI.ĐHDCĐ dated April 23rd, 2026)

1. Eligibility criteria

- a. Employees of the Company, its subsidiaries satisfying one of the following conditions:
 - The labor contract with the Company, its subsidiaries is still valid, the performance evaluation result for 2025 is rated B or higher and **the minimum working period is 09 months** as of the date on which the BOD issues the Resolution on the implementation of the Plan;
 - Top 20 Brokers of 2025;
 - Top 10 Managers (Securities Advisory) of 2025;
 - Special cases (Potential employees) proposed by the Board of Management, Head of Departments and approved by the Chairman of the BOD.
- b. Experts and senior personnel attracted and recruited by the Company and its subsidiaries (Talent attraction cases), as determined by the Chairman of the BOD.
- c. Members of the BOD (including the Chairman of subsidiary companies): as decided by the Chairman of the BOD.
- d. Not applicable to the following:
 - The employees who have submitted a resignation letter or have already ceased their employment as of the date of issuance of the BOD's Resolution on the implementation of the Plan;
 - The employees are currently on unpaid leave for at least 01 year as of the date of issuance of the BOD's Resolution on the implementation of the Plan;
 - Drivers, administrative staff/assistants of the departments;
 - The employees who have been disciplined in any form within one (01) year as of the date of issuance of the BOD's Resolution on the implementation of the Plan.

2. Principles for determining the number of allocated shares

- a. The total number of ESOP shares allocated to the groups and the number of shares allocated to each group shall be decided by the Chairman of the BOD, with details of the groups as follows:

No.	Group
1	Members of the Board of Directors (including the Chairman of subsidiary companies)
2	Talent attraction cases
3	Potential employees
4	Top Securities Advisors
5	Employees

- b. Principles for determining the number of shares allocated to employees of each group

The number of shares allocated to each employee within each group shall be determined as follows:

- Members of the Board of Directors: The number of shares allocated to each member of the group shall be determined by the Chairman of BOD.
 - Talent attraction cases: The number of shares allocated to each member of the group shall be determined in accordance with the agreement reached with the employee at the time of recruitment and subject to approval by the Chairman of the BOD.
 - Remaining groups:
- + Formula for allocating shares to each employee

The number of shares allocated to each employee = Total employee score × (Number of shares/1 point) × Adjustment coefficient

In which:

Total employee score = (Score for each evaluation criterion × Weight of each criterion) × Position grade coefficient

Number of shares/1 point = The number of shares allocated to each group is determined based on the total score of all employees within that group

Adjustment coefficient: The Head of Department shall adjust based on the assessment of each employee's contribution to the development of corporate culture. The adjustment rate shall not exceed 35% (increase/decrease) for each individual case and not exceed the total number of shares allocated to each group

- + Allocation criteria and coefficients

The common allocation criteria and coefficients include:

- ✓ Comprehensive development capability, including Leadership, Innovation & Creativity, and Adaptability to change;
- ✓ Effectiveness of contribution to the Company;
- ✓ Level of commitment to the Company;
- ✓ Position level within the Company.

Employee group	Comprehensive Development Capability			Contribution effectiveness in 2025	Commitment level	Position level
	Leadership	Innovation & Creativity	Adaptability to change			
Employees	10%	20%	10%	50%	10%	According to the coefficient of each position group
Top 10 Managers (Securities Advisory) of 2025	10%	20%	10%	55%	5%	
Top 20 Brokers of 2025						
Objectives	Ability to lead and manage teams/projects to effectively implement tasks	Proactively propose and implement initiatives to enhance the efficiency of the Department and the Company	Ready with solutions to optimize work, while being flexible and proactive in quickly adapting to any changes	Demonstrate employee performance and contribution to the Company's overall development in 2025	Demonstrate the process of commitment and dedication to the Company and long-term engagement with the Company	Roles, responsibilities, and scope of influence of positions within the Company, reflected through the determination of relative ranks of different positions
Data sources	Department evaluation - based on specific data/evidence (e.g., number of initiatives participating in S-Shine, specific achievements or awards of individuals, etc.)			Performance evaluation results for 2025	Number of years working at the Company and/or the Department's assessment of the level of commitment	The level of position complexity, the critical importance of each position within each job category group, and the degree of market scarcity

Detailed allocation criteria and coefficients include:

COMPREHENSIVE DEVELOPMENT CAPABILITY

Leadership	Scoring scale	Weighting	Innovation & Creativity	Scoring scale	Weighting	Adaptability to change	Scoring scale	Weighting
Level 0: No leadership role or internal influence	0	10%	Level 0: Perform tasks in accordance with established procedures, with no improvement ideas or involvement in innovation	0	20%	Level 0: Do not adapt to change and obstruct or resist change	0	10%
Level 1: Support management but does not directly lead a team	1	10%	Level 1: Occasionally has improvement ideas, but they are not truly effective	1	20%	Level 1: Show willingness to accept change but still requires substantial support for adaptation	1	10%
Level 2: Lead a team or small project, with the ability to organize and coordinate work	2	10%	Level 2: Contribute small but practical improvement ideas at the individual or departmental level	2	20%	Level 2: Demonstrate good adaptability to change but needs time to adjust	2	10%
Level 3: Effectively manage teams, exert influence within the organization, and promote team morale	3	10%	Level 3: Actively contribute innovative ideas successfully applied at the departmental or company level	3	20%	Level 3: Quickly adapt to new environments and processes	3	10%

Level 4: Assume a strategic leadership role, create significant influence, and drive teams to achieve high performance	4	10%	Level 4: Lead innovation at the forefront, delivering strategic or breakthrough initiatives that transform and optimize the organization's overall operations	4	20%	Level 4: Take the lead in initiating and driving organizational change	4	10%
--	---	-----	---	---	-----	--	---	-----

CONTRIBUTION EFFECTIVENESS AND COMMITMENT LEVEL

EMPLOYEES					
CONTRIBUTION EFFECTIVENESS			COMMITMENT LEVEL		
Result	Scoring scale	Weighting	Result	Scoring scale	Weighting
C	1	50%	Under 1 year	0	10%
B	2	50%	From 1 year to under 3 years	1	10%
A	4	50%	From 3 years to under 5 years	2	10%
			From 5 years to under 10 years	3	10%
			10 years or more	4	10%
TOP SECURITIES ADVISORS					
TOP 10 MANAGERS (SECURITIES ADVISORY)			COMMITMENT LEVEL		
Ranks 7 – 10	1	55%	Under 1 year	0	5%
Ranks 4 – 6	2	55%	From 1 year to under 3 years	1	5%
Ranks 1 – 3	4	55%	From 3 years to under 5 years	2	5%
			From 5 years to under 10 years	3	5%
			10 years or more	4	5%
TOP 20 BROKERS					
Ranks 11 – 20	1	55%			
Ranks 6 – 10	2	55%			
Ranks 1 – 5	4	55%			

POSITION LEVEL

Position level (PC)	Grade group	Grade coefficient range
PC 46	Staff	From 0.1 to less than 0.2
PC 47	Specialist	From 0.2 to less than 0.3
PC 48 - 50	Senior Specialist	From 0.3 to less than 0.5
PC 51 - 53	Junior Manager	From 0.5 to less than 0.8
PC 54 - 56	Middle Manager	From 0.8 to less than 1.3
PC 57 - 58	Senior Manager	From 1.3 to less than 2.6
PC 59 - 60	Executive	From 2.6 to less than 5.2
PC 61 - 64	Senior Executive	From 5.2 to less than 8

ANNEX 02

CRITERIA FOR ALLOCATION OF ESOP SHARES

(Attached to Resolution of the General Meeting of Shareholders No. 01/2026/NQ-SSI.DHDCĐ dated April 23rd, 2026)

1. Eligibility criteria

- a. Employees of the Company, its subsidiaries satisfying one of the following conditions:
 - The labor contract with the Company, its subsidiaries is still valid, the performance evaluation result for 2026 is rated B or higher and the minimum working period is 09 months as of the date on which the BOD issues the Resolution on the implementation of the Plan;
 - Top 20 Brokers of 2026;
 - Top 10 Directors/Managers (Securities Advisory) of 2026;
 - Special cases (Potential employees) proposed by the Board of Management, Head of Departments and approved by the Chairman of the BOD.
- b. Experts and senior personnel attracted and recruited by the Company and its subsidiaries (Talent attraction cases), as determined by the Chairman of the BOD.
- c. Members of the BOD (including the Chairman of subsidiary companies): as decided by the Chairman of the BOD.
- d. Not applicable to the following:
 - The employees who have submitted a resignation letter or have already ceased their employment as of the date of issuance of the BOD's Resolution on the implementation of the Plan
 - The employees are currently on unpaid leave for at least 01 year as of the date of issuance of the BOD's Resolution on the implementation of the Plan
 - Drivers, administrative staff/assistants of the departments
 - The employees who have been disciplined in any form within one (01) year as of the date of issuance of the BOD's Resolution on the implementation of the Plan

2. Principles for determining the number of allocated shares

- a. The total number of ESOP shares allocated to the groups and the number of shares allocated to each group shall be decided by the Chairman of the BOD, with details of the groups as follows:

No.	Group
1	Members of the Board of Directors (including the Chairman of subsidiary companies)
2	Talent attraction cases
3	Potential employees
4	Top Securities Advisors
5	Employees

- b. Principles for determining the number of shares allocated to employees of each group

The number of shares allocated to each employee within each group shall be determined as follows:

- Members of the Board of Directors: The number of shares allocated to each member of the group shall be determined by the Chairman of BOD

- Talent attraction cases: The number of shares allocated to each member of the group shall be determined in accordance with the agreement reached with the employee at the time of recruitment and subject to approval by the Chairman of the BOD.
- Remaining groups:

+ Formula for allocating shares to each employee

The number of shares allocated to each employee = Total employee score × (Number of shares/1 point) × Adjustment coefficient

In which:

Total employee score = (Score for each evaluation criterion × Weight of each criterion) × Position grade coefficient

Number of shares/1 point = The number of shares allocated to each group is determined based on the total score of all employees within that group

Adjustment coefficient: The Head of Department shall adjust based on the assessment of each employee's contribution to the development of corporate culture. The adjustment rate shall not exceed 35% (increase/decrease) for each individual case and not exceed the total number of shares allocated to each group

+ Allocation criteria and coefficients

The common allocation criteria and coefficients include:

- ✓ Comprehensive development capability, including Leadership, Innovation & Creativity, and Adaptability to change;
- ✓ Effectiveness of contribution to the Company;
- ✓ Level of commitment to the Company;
- ✓ Position level within the Company.

Criteria Employee group	Comprehensive Development Capability			Contribution effectiveness in 2026	Commitment level	Position level
	Leadership	Innovation & Creativity	Adaptability to change			
Employees	10%	20%	10%	50%	10%	According to the coefficient of each position group
Top 10 Directors/Managers (Securities Advisory) of 2026	10%	20%	10%	55%	5%	
Top 20 Brokers of 2026						
Objectives	Ability to lead and manage teams/projects to effectively implement tasks	Proactively propose and implement initiatives to enhance the efficiency of the Department and the Company	Ready with solutions to optimize work, while being flexible and proactive in quickly adapting to any changes	Demonstrate employee performance and contribution to the Company's overall development in 2026	Demonstrate the process of commitment and dedication to the Company and long-term engagement with the Company	Roles, responsibilities, and scope of influence of positions within the Company, reflected through the determination of relative ranks of different positions
Data sources	Department evaluation - based on specific data/evidence (e.g., number of initiatives participating in S-Shine, specific achievements or awards of individuals, etc.)			Performance evaluation results for 2026	Number of years working at the Company and/or the Department's assessment of the level of commitment	The level of position complexity, the critical importance of each position within each job category group,

				and the degree of market scarcity
--	--	--	--	-----------------------------------

Detailed allocation criteria and coefficients include:

COMPREHENSIVE DEVELOPMENT CAPABILITY

Leadership	Scoring scale	Weighting	Innovation & Creativity	Scoring scale	Weighting	Adaptability to change	Scoring scale	Weighting
Level 0: No leadership role or internal influence	0	10%	Level 0: Performs tasks in accordance with established procedures, with no improvement ideas or involvement in innovation	0	20%	Level 0: Do not adapt to change and obstruct or resist change	0	10%
Level 1: Support management but does not directly lead a team	1	10%	Level 1: Occasionally has improvement ideas, but they are not truly effective	1	20%	Level 1: Show willingness to accept change but still requires substantial support for adaptation	1	10%
Level 2: Lead a team or small project, with the ability to organize and coordinate work	2	10%	Level 2: Contribute small but practical improvement ideas at the individual or departmental level	2	20%	Level 2: Demonstrate good adaptability to change but needs time to adjust	2	10%
Level 3: Effectively manage teams, exert influence within the organization, and promote team morale	3	10%	Level 3: Actively contribute innovative ideas successfully applied at the departmental or company level	3	20%	Level 3: Quickly adapt to new environments and processes	3	10%

Level 4: Assume a strategic leadership role, create significant influence, and drive teams to achieve high performance	4	10%	Level 4: Lead innovation at the forefront, delivering strategic or breakthrough initiatives that transform and optimize the organization's overall operations	4	20%	Level 4: Take the lead in initiating and driving organizational change	4	10%
--	---	-----	---	---	-----	--	---	-----

CONTRIBUTION EFFECTIVENESS AND COMMITMENT LEVEL

EMPLOYEES					
CONTRIBUTION EFFECTIVENESS			COMMITMENT LEVEL		
Result	Scoring scale	Weighting	Result	Scoring scale	Weighting
C	1	50%	Under 1 year	0	10%
B	2	50%	From 1 year to under 3 years	1	10%
A	4	50%	From 3 years to under 5 years	2	10%
			From 5 years to under 10 years	3	10%
			10 years or more	4	10%
TOP SECURITIES ADVISORS					
TOP 10 DIRECTORS/ MANAGERS (SECURITIES ADVISORY)			COMMITMENT LEVEL		
Ranks 7 – 10	1	55%	Under 1 year	0	5%
Ranks 4 – 6	2	55%	From 1 year to under 3 years	1	5%
Ranks 1 – 3	4	55%	From 3 years to under 5 years	2	5%
			From 5 years to under 10 years	3	5%
			10 years or more	4	5%
TOP 20 BROKERS					
Ranks 11 – 20	1	55%			
Ranks 6 – 10	2	55%			
Ranks 1 – 5	4	55%			

POSITION LEVEL

Position level (PC)	Grade group	Grade coefficient range
PC 46	Staff	From 0.1 to less than 0.2
PC 47	Specialist	From 0.2 to less than 0.3
PC 48 - 50	Senior Specialist	From 0.3 to less than 0.5
PC 51 - 53	Junior Manager	From 0.5 to less than 0.8
PC 54 - 56	Middle Manager	From 0.8 to less than 1.3
PC 57 - 58	Senior Manager	From 1.3 to less than 2.6
PC 59 - 60	Executive	From 2.6 to less than 5.2
PC 61- 64	Senior Executive	From 5.2 to less than 8





**THE MINUTES OF
THE 2026 ANNUAL GENERAL MEETING OF SHAREHOLDERS
SSI SECURITIES CORPORATION**

A. COMPANY INFORMATION

Name of Company: SSI Securities Corporation

Head office: No. 72 Nguyen Hue, Sai Gon Ward, Ho Chi Minh City, Vietnam

Enterprise Number: 0301955155

B. MEETING TIME, METHOD AND VENUE

- Time: 13:30 on Thursday, April 23rd, 2026
- Meeting Method: Offline meeting at The Reunification Hall – 135 Nam Ky Khoi Nghia Street, Ben Thanh Ward, Ho Chi Minh City, Vietnam

C. ATTENDEES

1. Shareholders named in the Shareholders list as provided by Vietnam Securities Depository and Clearing Corporation (VSDC) as of the record date March 17th, 2026.
2. Members of the Board of Directors (BOD):
 - i. Mr. Nguyen Duy Hung – Chairman of the BOD;
 - ii. Mr. Nguyen Hong Nam – Member of the BOD;
 - iii. Mr. Pham Viet Muon – Independent Member of the BOD, Head of Audit Committee (AC);
 - iv. Mr. Nguyen Quoc Cuong – Independent Member of the BOD, Member of AC;
 - v. Mr. Nguyen Duy Khanh – Member of the BOD.
3. Representatives of the Independent Auditors Ernst & Young Vietnam Limited (E&Y):
 - i. Mr. Vu Tien Dung – Deputy General Director
4. Chief Executive Officer, Managing Directors/Directors, and Chief Executive Officer of SSI Asset Management Ltd.

D. SHAREHOLDER VERIFICATION REPORT

Mr. Nguyen Kim Long – Director, Legal and Compliance, read the Shareholder Verification Minutes made at **14:00** on April 23rd, 2026.

1. Shareholder Verification Committee includes:
 - Ms. Tran Thi Nhu Anh – Head of the Committee;
 - Mr. Nguyen Tran Tuan Khoi – Member;
 - Mr. Bui Quang Minh – Member.

2. Verification results:

- The Company's total shareholders: **163,615** shareholders, representing **2,491,097,752** shares accounting for **100%** of the voting shares (excluding **1,991,468** treasury shares against **2,493,089,220** issued shares).
- The total number of shareholders attending the Meeting (including shareholders attending in person and shareholders authorizing another person to attend the Meeting): **1,446** shareholders, representing **1,272,355,652** voting shares accounting for **51.08%** of total voting shares.

The Meeting satisfied conditions to proceed in accordance with laws and the Company Charter.

E. OPENING CEREMONY

I. INTRODUCING MEETING'S CHAIRMAN AND SECRETARY

1. Chairman: Mr. Nguyen Duy Hung – Chairman of the BOD
2. Secretaries: Ms. Duong Doan Thuy Ai and Ms. Pham Thi Thu Uyen

II. APPROVING THE MEETING AGENDA AND VOTE COUNTING COMMITTEE

1. Mr. Nguyen Duy Hung addressed an introductory speech for opening the Annual General Meeting of Shareholders ("**AGM**" or "**Meeting**").
2. Chairman assigned Mr. Nguyen Kim Long – Director, Legal and Compliance announced the Meeting agenda including the following issues:
 - i. Report on the 2025 business results, the 2026 business plan;
 - ii. Report on the 2025 operation of the BOD;
 - iii. Report on the 2025 operation of the AC and Independent Members of the BOD in the AC;
 - iv. 2025 Audited Financial Statements;
 - v. 2025 Profit distribution;
 - vi. To select audit firm for the fiscal year 2026;
 - vii. The Plan on shares issuance for share capital injection from the owner equity;
 - viii. To continue the implementation of Plan on shares issuance approved by the General Meeting of Shareholders in 2025;
 - ix. The Plan on shares issuance under the 2026 Employee Stock Ownership Plan (ESOP 2026);
 - x. To dismiss and elect 01 member of the BOD.
3. The Chairman assigned Mr. Nguyen Kim Long – Director, Legal and Compliance nominated the Vote Counting Committee to conduct examination and statistic for voting and election results, including:
 - Ms. Tran Thi Nhu Anh – Company staff – Head of the Committee;
 - Mr. Nguyen Tran Tuan Khoi – Company staff – Member;
 - Mr. Bui Quang Minh – Representative of Company's Shareholder – Member.
4. The Chairman assigned Mr. Nguyen Kim Long – Director, Legal and Compliance proposed to approve the Meeting Rules of the AGM.

The Organizing Committee provided guidelines for voting on the contents of Agenda, Vote Counting Committee and Meeting Rules of AGM.

The Meeting voted on approval of the Agenda, Vote Counting Committee and Meeting Rules of AGM: Agreement ratio attained: 100%.

F. MEETING PROGRESS

I. MEETING CONTENTS

1. Report on the 2025 business results, the 2026 business plan, and Reports on the 2025 operation of the BOD and the AC

i. Mr. Nguyen Duc Thong – CEO, presented:

- Report on the 2025 business results;
- The 2026 Business plan (attached to the Submission of the BOD):
 - Revenue (consolidated): VND 15,660 billion
 - Profit before tax (consolidated): VND 5,838 billion

Authorizing the BOD to adjust the business plan in accordance with market conditions in case the market growth and liquidity are not as expected.

ii. Mr. Pham Viet Muon – Independent Member of the BOD cum Head of AC, presented Report on the 2025 operation of the BOD, which included the evaluation results of each Independent Member of the BOD regarding the 2025 operation of the BOD.

iii. Mr. Nguyen Quoc Cuong – Independent Member of the BOD cum Member of AC, presented Reports on the 2025 operation of the AC and Independent Members of the BOD in the AC.

2. The 2025 Audited financial statements, and the 2025 profit distribution

Ms. Nguyen Thi Thanh Ha – Chief Financial Officer (CFO), presented:

- i. The 2025 Financial statements audited by Ernst & Young Vietnam Limited have been disclosed as required by laws and regulations and posted on the Company's website at <https://www.ssi.com.vn>. Key items include:

No.	Items	Unit	Consolidated Financial Statements	Separate Financial Statements
1	Total assets	VND	94,049,979,396,183	92,975,231,411,813
2	Net revenue	VND	13,112,474,747,642	12,745,382,149,662
3	Profit before tax	VND	5,082,973,996,371	4,858,870,804,451
4	Profit after tax	VND	4,106,880,733,899	3,917,405,223,647
5	Profit after tax of the parent company's shareholders	VND	4,106,090,416,749	

ii. The 2025 Profit distribution and funds allocation:

Total proposed distributable profit **VND 2,750,366,713,702**

In which:

- Charity fund (1% on profit after tax) VND 40,803,026,728
- Bonus, welfare fund (5% on profit after tax) VND 204,015,133,639
- 2025 cash dividend (10% par value – VND 1,000/share) VND 2,503,089,220,000 (*)

Remuneration of the BOD as actually paid in 2025 VND 2,459,333,335

(*): If there is any change in total number of issued shares as at the record date for shareholders entitled to receive the dividend, the BOD may increase or decrease this amount to ensure dividend is VND 1,000/share within permitted sources. Accordingly, the total proposed distributable profit shall be changed.

3. Selection of audit firm for the fiscal year 2026

Ms. Nguyen Thi Thanh Ha – CFO, read the Submission on the selection of audit firm for the fiscal year 2026 for the Meeting's consideration and approval of:

- i. List of 04 (four) audit firms, consisting of Ernst & Young Vietnam Limited, KPMG Limited, PWC (Vietnam) Limited, Deloitte Vietnam Audit Company Limited for auditing the 2026 Separate and Consolidated Financial Statements and reviewing the 2026 Separate and Consolidated semi-annual Financial Statements of the Company, which are approved by the State Securities Commission to audit listed companies and completely independent from the Company and SSI's key personnel;
- ii. Authorizing the BOD to appoint 01 (one) of 04 (four) audit firms as mentioned above.

4. Plans on shares issuance

Mr. Nguyen Kim Long – Director, Legal and Compliance, presented the Submissions on the Plan on shares issuance, for Meeting's consideration and approval:

- i. Submission on the Plan on shares issuance for share capital injection from the owner equity dated March 31st, 2026;
- ii. Submission on the continued implementation of Plan on shares issuance approved by the General Meeting of Shareholders in 2025 dated March 31st, 2026;
- iii. Submission on the Plan on shares issuance under the 2026 Employee Stock Ownership Plan (ESOP 2026) dated March 31st, 2026.

5. Dismiss and elect 01 member of the BOD

Mr. Nguyen Kim Long – Director, Legal and Compliance, presented the Submission on the dismissal and election of member of the BOD, for Meeting's consideration and approval:

- i. To dismiss Mr. Kosuke Mizuno from his position as a member of the BOD.
- ii. To elect 01 (one) member of the BOD with a 5-year term from April 23rd, 2026.
- iii. List of candidates for member of the BOD:

No.	Full Name
1.	Mr. Tsutomu Hiramatsu

- iv. Detailed information of the above BOD candidate has been sent to the shareholders attending the Meeting and has been published on the Company's website at <http://www.ssi.com.vn>.

By the end of the nomination/self-nomination deadline (15:00 on April 9th, 2026 according to the Announcement), there is 01 aforementioned BOD candidate.

At the Meeting, no shareholders nominated additional candidates to the BOD.

II. Q&A SESSION WITH SHAREHOLDERS:

Participating in the discussion includes shareholders and the Company's representatives as follows:

1. Mr. Nguyen Duy Hung - Chairman of the Board of Directors
2. Mr. Nguyen Duc Thong - Chief Executive Officer
3. Ms. Nguyen Vu Thuy Huong - Senior Managing Director – Treasury & Principal Investment
4. Ms. Nguyen Ngoc Anh - Chief Executive Officer of SSI Asset Management Ltd.
5. Ms. Nguyen Thi Thanh Ha - Chief Financial Officer
6. Mr. Bui The Tan - Chief Retail Officer

No.	Questions	Answers from the Chairman and/or other assignees by the Chairman
1.	What is the current status of Vietnam's MSCI market upgrade roadmap? Once Vietnam is included in MSCI, how much foreign capital is expected to flow into the Vietnamese market annually?	<p>A specific roadmap regarding the timing of an upgrade is difficult to determine precisely from the perspective of a securities company, as this depends on MSCI. However, based on MSCI's criteria and Vietnam's level of preparation, it is expected that Vietnam may be added to the watch list this year or next year.</p> <p>The calculation of specific capital flows will be carried out by our Research Department and informed to shareholders at a later stage.</p>
2.	When Vietnam is upgraded by FTSE (FTSE Index), what advantages does SSI have compared to competitors such as VCI or HCM?	<p>SSI has three main advantages: (1) The largest capital base and asset scale, enabling it to provide effective non prefunding services to large foreign institutions such as Vanguard and BlackRock; (2) Long-standing and close relationships with foreign investment funds and investment banks; (3) A proprietary in-house technology system (in-house core) that is easy to integrate with global systems, facilitating convenient trading for foreign investors.</p>
3.	In the 1 st Quarter of 2026 Financial Statements, SSI recorded outstanding loans of approximately VND 36 trillion,	<p>SSI always places the safety and effectiveness of investors first. In the context of strong market volatility, SSI has warned investors about the risks of using margin and adjusted outstanding margin balances accordingly. This is</p>

No.	Questions	Answers from the Chairman and/or other assignees by the Chairman
	while some competitors such as TCBS reported around VND 44 trillion. Does moving against the broader market trend reflect a defensive strategy against risk?	not passive defense, but proactive risk management to ensure investor safety.
4.	SSI's subsidiary was not selected to advance to Phase 2 of the licensing process for operations in the digital asset sector, while five other companies were shortlisted. What alternative plans does SSI have, and does SSI intend to continue participating in this sector?	At present, SSI has adopted a cautious approach and has not accelerated implementation nor engaged deeply in this sector. SSI has not withdrawn from the digital asset space and remains committed to participating when the market becomes clearer and fully meets three key criteria: strict legal compliance, business efficiency, and investor protection.
5.	Does SSI expect its outstanding loan balance to remain flat or change under the current interest rate environment?	SSI's current margin loan balance is approximately VND 38 trillion. In 2026, it is expected to reach around VND 45 trillion; however, this target will be flexibly adjusted based on actual market conditions. Disbursements will be made selectively, ensuring strict risk control and maintaining lending interest rates at reasonable levels to ensure that investors remain profitable.
6.	What plans does SSI have to maintain or expand its market share among retail and institutional clients?	<p>For retail clients, SSI is restructuring its team development model by strengthening the sales force, enhancing training quality, diversifying customer access channels, and expanding sales activities through social media platforms, including livestreaming. The Company is focusing on the mass retail segment while developing more convenient trading tools to enhance customer experience.</p> <p>For institutional clients, SSI currently holds approximately a 30% market share and maintains the No. 1 position in the market. SSI expects to achieve an additional 20% growth on this base, supported by its core system, which is currently being enhanced. SSI is also proud to be among the fastest order-matching brokers in the market, a significant advantage as central counterparty clearing (CCP) and intraday trading are implemented.</p>

No.	Questions	Answers from the Chairman and/or other assignees by the Chairman
7.	Why is SSI's 2026 business plan relatively conservative compared with other companies in the industry, and what liquidity assumptions underpin this plan?	<p>SSI's business plan is developed based on practical assessments and proposals from each business unit. The Company prioritizes setting achievable targets rather than revising plans during the year.</p> <p>While the targeted growth rate of 15% may appear modest, it represents an absolute increase of more than VND 800 billion, which is substantial in value. In the 1st Quarter of 2026, SSI led the industry in profitability, and the Company expects not only to meet but potentially exceed its annual plan in the remaining quarters.</p>
8.	Does the rise of bank-backed securities companies make SSI's shares appear "aged"? Does SSI provide any commitment regarding its share price outlook?	<p>SSI's strength lies in its sustainable growth and resilience in navigating market volatility. The Company's ability to maintain its leading position despite the emergence of strong competitors demonstrates the vitality and credibility of the SSI brand.</p> <p>With respect to share price commitments, SSI affirms that it never intervenes in share price movements. Share prices are determined by the market and investor confidence. SSI focuses on delivering sound business performance to build and maintain such confidence.</p>
9.	What measures will SSI take to expand brokerage market share after a decline in the most recent quarter?	<p>SSI does not compete through fee reductions or price undercutting. The Company focuses on service quality and the provision of in-depth research reports to protect investors' interests. A market share decline in one quarter after five quarters of growth is a normal business occurrence and may result from short-term surges by competitors. SSI remains committed to delivering high-quality services to retain and attract long-term clients.</p>
10.	Do rising interest rates affect SSI's borrowing costs?	<p>Rising interest rates impact not only SSI but also other securities companies in the industry. SSI has three key advantages: (1) long-standing relationships with credit institutions, allowing access to favorable borrowing rates; (2) a diversified capital structure; and (3) the ability to offset funding costs through margin lending and investments in fixed-yield assets, among others. As a result, despite increasing interest rates and market challenges, SSI</p>

No.	Questions	Answers from the Chairman and/or other assignees by the Chairman
		achieved the strongest business performance in the industry as of the end of the 1 st Quarter of 2026.
11.	When are large IPO transactions expected to take place in 2026, and what is SSI's Investment Banking (IB) strategy for IPOs?	<p>The anticipated peak period is from late 3rd Quarter to 4th Quarter of 2026, coinciding with two major milestones: MSCI's key announcements in June and FTSE's official market upgrade of Vietnam in September. These events are expected to provide strong momentum for IPOs and listings, particularly under the new regulatory framework allowing FDI enterprises to list in Vietnam.</p> <p>With respect to competition strategy, SSI prioritizes high-quality transactions and cooperation with major international banks. In early 2026, SSI successfully executed a private placement for BIDV to foreign institutional investors valued at over USD 400 million. In May, SSI expects to announce another major M&A transaction. The market is large enough for multiple players; SSI's focus is on ensuring sufficient resources to seize opportunities effectively.</p>
12.	What proportion of newly raised capital will be allocated to proprietary trading versus margin lending?	SSI focuses on providing financial services to meet investor needs rather than proprietary trading. The majority of capital is allocated to investor-related activities, primarily margin lending. Proprietary trading in equities, derivatives, or other products represents only a very small proportion of total capital.
13.	What does SSI do to maintain its position as the "big brother" in the securities sector?	SSI believes that an "big brother" is not the company with the largest market share, but the one that leads and builds the market—an organization willing to commit resources to developing and strengthening the shared ecosystem. To maintain this position, SSI will: (1) maintain strong financial capacity; (2) shift its growth focus from scale to quality; and (3) invest heavily in technology. Fair competition, collective market development, and shared value creation are core principles of SSI's approach.
14.	What is management's outlook for the market? How does this assessment affect SSI's lending strategy and capital efficiency?	The market remains highly unpredictable due to geopolitical developments, interest rate policies, and other external factors. In this context, Vietnam stands out as one of the region's markets with stable macroeconomic fundamentals,

No.	Questions	Answers from the Chairman and/or other assignees by the Chairman
		<p>a long-term growth orientation, and substantial demand for capital from the private sector and foreign investors.</p> <p>Based on this assessment, SSI adopts a cautious approach to business strategy and capital utilization, prioritizing system safety and long-term efficiency rather than pursuing scale at any cost. Lending activities, particularly margin lending, are adjusted flexibly in line with market developments and closely aligned with risk management and cost-of-capital control.</p>
15.	Is SSI at a disadvantage in capital mobilization compared with securities companies that are subsidiaries of banks (e.g., VPBankS, ACBS)?	We believes that not having a parent bank is an advantage, as it enables SSI to cooperate with most banks in the market. SSI maintains strong, long-standing relationships with banks, with total credit limits of approximately VND 110 trillion, excluding foreign funding sources. SSI executed the largest offshore borrowing transaction in the market in the 4 th Quarter of 2025 and currently has total assets exceeding VND 94 trillion, which are expected to continue growing in 2026.
16.	Given that foreign investment capital typically flows through banks, how has SSI prepared to accommodate this capital when the market is upgraded?	Foreign investors trading in Vietnam typically utilize non-prefunding mechanisms. Accordingly, SSI is focused on increasing its total asset base to ensure readiness for immediate disbursement when investor demand arises, while maintaining safety and operational efficiency.

At the end of the Q&A session, shareholders did not have any further questions. The Chairman requested the Organizing Committee to conduct voting and election procedures.

III. VOTING ON THE DISCUSSED ISSUES

Mr. Nguyen Kim Long – Director, Legal and Compliance provided instructions and guidance on voting and election regulations at the Meeting.

At **15:35** on April 23rd, 2026 when the voting and electing is conducted, the total number of shareholders attending the Meeting was **1,559 shareholders** (including shareholders attending in person and shareholders authorizing other person to attend the meeting), representing **1,298,915,150 voting shares** accounting for **52.14%** total voting shares.

Shareholders carried out voting and electing.

IV. BREAK TIME

VOTE COUNTING COMMITTEE CONDUCTED TO COUNT VOTING SLIPS AND BALLOT PAPERS

V. VOTING AND ELECTION RESULTS

At the end of the break time and after the Vote Counting Committee completed the vote counting, the Chairman invited shareholders back to the meeting hall to continue the Meeting.

The Chairman invited the Organizing Committee to announce the voting and election results.

1. Election results of the member of the BOD

- i. Total issued Ballot papers: **572** papers, represented for 100% attended voting shares
- ii. Total collected Ballot papers: **465** papers, in which:

- Valid Ballot papers: **407** papers
- Invalid Ballot papers: **58** papers

iii. Election results

No.	Full Name	Total Ballot Papers	Election Ratio (%)
1.	Mr. Tsutomu Hiramatsu	1,224,140,771	94.24

2. Voting results for approval of the presented and discussed issues

- i. Total issued voting slips: **572** slips, represented for 100% attended voting shares
- ii. Total collected voting slips: **479** slips, in which:

- Valid voting slips: **433** slips
- Invalid voting slips: **46** slips

iii. The voting results are as follows:

No.	Contents	Total Shares and Voting Ratio		
		Agree (%, shares)	Disagree (%, shares)	Abstention (%, shares)
1	Report on the 2025 business results, the 2026 business plan	98.99	0.00	0.01
		1,285,781,239	0	162,398
2	Report on the 2025 operation of the BOD	98.98	0.00	0.01
		1,285,767,059	0	176,578
3	Report on the 2025 operation of the AC and Independent Members of the BOD in the AC	98.98	0.00	0.01
		1,285,754,599	20,000	169,038
4	2025 Audited Financial Statements	98.99	0.00	0.01

No.	Contents	Total Shares and Voting Ratio		
		Agree (%, shares)	Disagree (%, shares)	Abstention (%, shares)
		1,285,785,818	0	157,819
5	2025 Profit distribution	98.97	0.01	0.02
		1,285,593,984	109,540	240,113
6	To select audit firm for the fiscal year 2026	95.55	0.01	3.43
		1,241,194,339	158,000	44,591,298
7	The Plan on shares issuance for share capital injection from the owner equity	98.98	0.00	0.01
		1,285,765,328	8,912	169,397
8	To continue the implementation of Plan on shares issuance approved by the General Meeting of Shareholders in 2025 <i>Excluding 401,652,650 shares of related parties as members of BOD, Board of Management, Company's employees, subsidiaries and shareholders are affiliated persons thereof</i>	93.93	4.60	0.02
		842,806,544	41,272,096	212,347
9	The Plan on shares issuance under the 2026 Employee Stock Ownership Plan (ESOP 2026) <i>Excluding 401,652,650 shares of related parties as members of BOD, Board of Management, Company's employees, subsidiaries and shareholders are affiliated persons thereof</i>	88.58	9.38	0.59
		794,834,249	84,189,778	5,266,960
10	To dismiss and elect 01 member of the BOD	94.75	4.20	0.05
		1,230,746,613	54,526,507	670,517

G. APPROVING MEETING MINUTES AND RESOLUTION

- Mr. Nguyen Kim Long – Director, Legal and Compliance read the draft Meeting Minutes and Resolution of the General Meeting of Shareholders.
- Shareholders did not have any amendments, supplements to the presented draft Meeting Minutes and Resolution of the General Meeting of Shareholders.

- The General Meeting of Shareholders voted for approval of the contents of the draft Meeting Minutes and Resolution with approval rate of **100%**.
- The Chairman expressed sincere thanks to all shareholders who have accompanied the Company and declared the closing of the AGM.

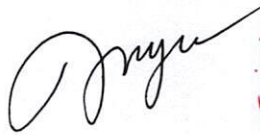
The Meeting ends at **16:35** on the same day.

SECRETARIES

CHAIRMAN



Duong Doan Thuy Ai



Pham Thi Thu Uyen



Nguyen Duy Hung

