



Ref. 2143/2020/CV-SSIHO
Re: Collecting written opinion of shareholders

Ho Chi Minh City, December 10, 2020

To: Name of Shareholder:
Address:
Shareholder's Code:

Dear Valued Shareholder,

Pursuant to the Charter of SSI Securities Corporation ("SSI") and Resolution No. 13/2020/NQ-HĐQT dated November 19, 2020 of the Board of Directors, SSI is writing this letter to collect written opinions of shareholders for ratifying resolutions of the General Meeting of Shareholders ("GMS") with details as follows:

- Name of the Company: SSI Securities Corporation
- Head office: 72 Nguyen Hue Street, Ben Nghe Ward, District 1, Ho Chi Minh City, Vietnam
- Business Registration Certificate No. 056679 dated December 30, 1999 issued by Ho Chi Minh City Department of Planning and Investment.
- Securities Operation Certificate No. 03/GPHĐKD dated April 05, 2000 issued by the Chairman of State Securities Commission and as amended by Certificate No. 20/GPĐC-UBCK dated May 08, 2020.
- Purpose of collecting opinions: Adopting GMS resolutions on the amendment of the share issuance under the Employee Stock Ownership Plan (ESOP) in 2020 and the extension of 2018 convertible bonds.
- Agenda for collecting opinions: Approval of the amendment of the share issuance under the ESOP according to the Resolution No.01/2020/NQ-ĐHĐCĐ dated June 27, 2020 and the extension of 2018 convertible bonds issued on February 09, 2018 according to the Resolution No. 01/2018/NQ-ĐHĐCĐ dated January 12, 2018 upon the Submission and Draft for GMS Resolution as attached.

Valued Shareholder kindly give your opinions by filling and signing in the enclosed Voting Slip then sending it to the Board of Directors **by December 30, 2020** via one of the following methods:

- Facsimile to: (84-28) 38242997 or (84-24) 39366311
- Email to: dhcd@ssi.com.vn
- Post office to the Head office address above

The Board of Directors will aggregate opinions of shareholders and announce the result of voting in accordance with the Charter of SSI.

Sincerely,

ON BEHALF OF THE BOARD OF DIRECTORS
CHAIRMAN



Nguyen Duy Hung

VOTING SLIP

(Attached to the Letter No. 2143/2020/CV-SSIHO dated December 10, 2020)

Full Name of Shareholder:

Trading code (if any):

Shareholder's Code:

Number of Shares Owned: shares

Contents of voting:

1. To approve the amendment of the share issuance under the Employee Stock Ownership Plan (ESOP) according to the Resolution No. 01/2020/NQ-ĐHĐCĐ dated June 27, 2020

(Please tick a corresponding box)

Agree

Disagree

No opinion

2. To approve the extension of convertible bonds issued on February 09, 2018 according to the Resolution No. 01/2018/NQ-ĐHĐCĐ dated January 12, 2018

(Please tick a corresponding box)

Agree

Disagree

No opinion

....., date20..

Shareholder

(Sign, stamp (if institutional shareholder))

