



权证购买登记书

COVERED WARRANT SUBSCRIPTION FORM

一、投资者信息 / INVESTOR'S INFORMATION

证券交易账号/Trading account number

在券公司/Name of Broker

投资者请用黑色或蓝色笔填写及签名后将登记书送到发行代理单位。

Please complete this form in full using BLACK/BLUE ink, sign it at the places indicated and submit the form to your Issuing Agency.

注 (*) 外资客户 (**) 机构客户 (***) 个人户
Note (*) For foreign Investors only (**) For institutional Investors only (***) For individual Investors only

1. 账户类型 Account type		<input type="checkbox"/> 个人 Individual	<input type="checkbox"/> 机构 Institutional
2. 投资者姓名 Full name of Investor		国籍 Nationality	
3. 税码 Tax file number		缴税所在国 Country of taxation	
4. 身份证/护照/营业执照: ID/Passport/Business license number	签发日 Issuing date	签发地 (国家、省/市) Issuing place (Country, Province/City)	
5. 入境签证 (*) Visa number (*)	签发日 Issuing date	签发地 Issuing place	
6. 出生日 (***) Date of birth (dd/mm/yyyy) (***)	性别 Gender	<input type="checkbox"/> 男 Male	<input type="checkbox"/> 女 Female
7. 证券交易代码 (*) Securities trading code (*)	签发日 Issuing date		
8. 投资者是否为权证的标的证券公司创始股东? Is investor the founding shareholder of the Company of underlying stock			
		<input type="checkbox"/> 是 Yes	<input type="checkbox"/> 否 No
9. 联系方式 Contact details	居住地址/机构注册地址 Permanent address/Head office		国家 Country
	目前地址 (联系地址) Current address (Post address)		国家 Country
	外国人在越南的临时居住地址 (*) Temporary residential registration address in Vietnam for a foreigner residing in Vietnam (*)		
	联系电话 (手机) Mobile phone (Country code - Area code - Phone number)		
	电子邮箱 Email		

10. 被授权人 Authorized Person	被授权人姓名: Name of Authorized person		
	身份证/护照号码: ID/Passport number		
	签发日 Issuing date		
	签发地 (国家、省/市) Issuing place (Country, Province/City).....		
11. 法定代表人 (**) Legal representative (**)	法定代表人姓名 Full name		职务 Job title.....
	出生日 Date of birth (dd/mm/yyyy).....	性别 Gender	<input type="checkbox"/> 男 Male <input type="checkbox"/> 女 Female
	入境签证 (*) Visa number (*).....	签发日 Issuing date	签发地 Issuing place.....
	身份证/护照号码 ID/Passport number		签发日 Issuing date
	签发地 (国家、省/市) Issuing place (Country, Province/City).....		国籍 Nationality
	联系电话 (手机) Mobile phone (Country code—Area code—Phone number).....		

二、权证购买登记信息 /SUBSCRIPTION DETAILS

我/我们登记购买 SSI 证券股份有限公司发行的权证如下: We request to subscribe for the Covered Warrant issued by SSI Securities Corporation as follows:	权证名称 Covered Warrant Name
	权证代码 Covered Warrant Code
	登记购买权证数量 Subscription Volume
	大写 In words
	金额 Subscription value
	大写 In words

三、购买失败时指示资金转回银行账户信息 /BANK ACCOUNT DETAIL FOR UNSUCCESSFUL SUBSCRIPTION

投资者登记购买失败时指示资金转回银行账户信息，具体如下 Investor would like to have all proceeds of unsuccessful subscription as follows	
收款人姓名 Bank account name	账号 Account number
收款银行 At Bank	分行 Branch

四、接收预期分配结果通知方式 /FORM OF RECEIVING EXPECTED TRADING RESULTS

投资者登记接收交易结果的通知方式:

Investor would like to receive trading results by the following method:

电子邮箱 (写明)

Email (Please specify)

五、总则 /GENERAL PROVISIONS

1. 未满 18 周岁的未成年人依法律规定不能登记购买权证

Any person below the age of 18 could not register to purchase covered warrant.

2. 投资者经收到、阅览、明了及理解权证的相关文件 (包括但不限于招股说明书) 后, 自行做出认购决定。投资者接受上述文件中详述的条款和条件, 特别是投资风险因素及股权交易的相关费用&税收。SSI (西贡) 证券公司、员工、经理以及指定的发行代理机构对投资者的权证投资决策不承担任何法律责任。

The decision to invest in Covered Warrant is the Investor's own decision after having received, read, and understood the relevant document(s), including but not limited to the Prospectus. Investor accepts the terms and conditions in the above documents, especially the risk factors and the charges applicable to the Covered Warrant. SSI Securities Corporation (SSI), its officers, CEO, and authorized Issuing Agencies shall have no legal liability with respect to the Investor's investment decision.

3. 投资者必须在权证购买登记书中提供真实准确的信息, 若机构或个人的相关信息有变更时请尽快更新。若投资者未提供所需信息以便完成上述服务, SSI 公司可拒绝提供客户权证认购服务。投资者须赔偿 SSI, 或 SSI 的任何机构或 SSI 员工因任何错误, 误导或遗漏信息导致的任何损害, 损失或其他费用。

Investor must provide true and exact information in the Covered Warrant Subscription Form and update any changes of institution or personal data as soon as possible. If Investor fails to supply such information to complete these above services, SSI could reject to provide subscription services. The Investor must indemnify SSI or any other entity of SSI or any employees of SSI for any damage, loss or other expenses that may incur in consequence of any wrong or misleading statement or omission.

投资者承诺已阅读、理解、同意并遵守招股说明书及权证购买登记书的内容, 承诺对在权证购买登记书及所有附带文件所提供的信息负责任。

Investor undertakes that the Investor has fully read, understood and agreed and shall comply with the Prospectus and Covered Warrant Subscription Form. Investor is responsible for all information given in this Covered Warrant Subscription Form and all documents provided to support the Covered Warrant Subscription Form.

投资者 /Investor

(签字、盖章、写明姓名) /(Sign, stamp, full name)

日期 /Date (dd/mm/yyyy).....

发行代理机构专用 For Issuing Agency		
经纪人 /(Broker staff)		
姓名 /(Name)		
行业执照号码 /(Practitioner's certificate number).....		
签发日 /(Issuing date)		
电话 /(Phone)		
电子邮箱 /(Email)		
交易员 /Issuing Agency staff (签字、写明姓名) /(Sign, full name)	审查员 /Supervisor (签字, 全名) /(Sign, full name)	发行代理商的授权代表/ Representative of Issuing Agency (签字、写明姓名) /(Sign, full name)

六、所需提供资料 /REQUIRED DOCUMENTS

A. 个人投资者资料 (For individual Investor)	B. 机构投资者资料 (For institutional Investor)
权证购买登记书 Covered Warrant Subscription Form	权证购买登记书 Covered Warrant Subscription Form
投资者有效的身份证/护照副本 Certified copy of ID card/passport of Investor	企业注册证书的有效副本。 Certified copy of certificates of company registration
银行核发的合资投资股份账户 (CCA) 或间接投资资金账户证书 (限外资) The Bank confirmation on opening the capital contributed account (CCA) or the indirect investment capital account (for foreign Investors only)	企业登记信息变更文件的有效副本 (若有) Certified copy of related documents on changing company register information (if applicable)
越南证券托管中心 (VSD) 核发的交易账号证书 (STC) 有效副本 (限外资) Certified copy of confirmation of securities trading code (STC) from Vietnam Securities Depository (VSD) (for foreign Investors only)	法定代表人身份证/护照的有效副本 Certified copy of ID card/passport of legal representative
开户申请书的有效副本 (非在 SSI 公司登记权证托管账户的投资者) Certified copy of Securities Account Opening Form in case the Investor registers securities trading account to deposit Covered Warrant at other Brokers instead of SSI.	银行核发的合资投资股份账户或间接投资资金账户证书 (限外资) The Bank confirmation on opening the capital contributed account (CCA) or the indirect investment capital account (for foreign Investors only)
投资者授权书 (若有) (限在 SSI 公司开户的投资者) Power of Attorney in which Investor gives right to an authorized person to sign required documents (if applicable)	越南证券托管中心核发的交易账号证书有效副本 (限外资) Certified copy of confirmation of securities trading code (STC) from Vietnam Securities Depository (VSD) (for foreign Investors only)
被授权人有效的身份证/护照副本 Certified copy of ID card/Passport of authorized person	开户申请书的有效副本 (非在 SSI 公司登记权证托管账户的投资者) Certified copy of Securities Account Opening Form in case the Investor registers securities trading account to deposit Covered Warrant at other Brokers instead of SSI.
	法定代表人授权给机构代表人的授权书 Power of Attorney in which the Legal Representative gives right to an authorized person to sign required documents (if applicable) 被授权人有效的身份证/护照副本 Certified copy of ID card/Passport of authorized person