

SSIAM VNFIN LEAD ETF

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TRANSLATION

Hanoi, 1 April 2021

FUND PERFORMANCE FOR 2020 AND INVESTMENT PLAN FOR 2021 OF SSIAM VNFIN LEAD ETF

I. FUND PERFORMANCE FOR 2020

In 2020, SSIAM VNFIN LEAD ETF (“Fund”) follows a passive investment approach tracking the returns of the VNFIN LEAD benchmark index (an index provided by the Ho Chi Minh City Stock Exchange (HSX)) and does not actively seek higher returns than the benchmark index, the Fund also does not have defensive strategies during market downturns.

As of 31st December 2020, the tracking error of the Fund is 0.29%, smaller than threshold by the HSX.

The investment portfolio of the Fund has been restructured four times in February, May, August, and November due to HSX review period in which the component, outstanding share, free-float, market capitalization and liquidity adjustment weight of the VNFIN LEAD index were updated.

In the year, SSIAM VNFIN LEAD ETF closely tracks the benchmark index. Since the date of capital contribution registration on 10 January 2020, the VNFIN LEAD benchmark index increased +36.15% while the Net Asset Value per fund unit of SSIAM VNFIN LEAD ETF increased +35.59%.

In 2020, the liquidity based on continuous matching of the Fund on HSX reached 15.26 million units (since its first listed date on 18th March 2020). Total trading volume is 75,911 fund units each trading session.

	NAV/Fund unit (dong)	Performance	
		2020	Since capital contribution registration date
SSIAM VNFIN LEAD ETF	13,559.27	35.59%	35.59%
Benchmark Index*		36.15%	36.15%

Source: SSIAM; (*) Since 10 January 2020, the last date of capital contribution registration for SSIAM VNFIN LEAD ETF

On 31 December 2020, the number of outstanding fund certificate is 81.3 million units with Net Asset Value of 1,102.3 billion dong.

On 31 December 2020, foreign ownership of the Fund is 97.44%.

The Fund decided not to distribute its profit in 2020 to reinvest.

II. INVESTMENT PLAN FOR 2021

The investment goal of SSIAM VNFIN LEAD ETF for 2021 is to track the return of VNFIN LEAD benchmark index for the period. To achieve this goal, SSIAM VNFIN LEAD ETF will follow the portfolio of VNFIN LEAD index to minimize its tracking error to the Benchmark Index.

REPRESENTATIVE OF THE ASSET MANAGEMENT COMPANY