

SSIAM VNFIN LEAD ETF
THE BOARD OF FUND REPRESENTATIVES

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Translation

Hanoi, 31 March 2021

OPERATION REPORT
OF THE BOARD OF FUND REPRESENTATIVES OF SSIAM VNFIN LEAD ETF IN 2020

To: General Investors' Meeting of SSIAM VNFIN LEAD ETF

1. The Board of Fund Representatives of SSIAM VNFIN LEAD ETF was elected in the General Investors' Meeting in 2020.

The Chairman and members of the Board of Fund Representative are as follows:

- Mr. Bui Ngoc Binh – Chairman of the Board of Fund Representatives
- Ms. To Minh Huong – Independent Member of the Board of Fund Representatives
- Ms. Nguyen Thi Hong Hai – Member of the Board of Fund Representatives

The structure of the Board of Fund Representatives has fully met the requirements and conditions provided in relevant legal provisions and Articles 25.1 and 25.2 and Article 26 of the Charter of SSIAM VNFIN LEAD ETF and relevant legal provisions, as follows:

- Two thirds of the Board of Fund Representatives are independent members, which meets the requirement of two thirds of the Board of Fund Representatives being independent members according to Article 25.1 of the Charter of SSIAM VNFIN LEAD ETF and relevant legal provisions.
 - The members of the Board of Fund Representatives have met all the criteria provided in Article 25.2 of the Charter of SSIAM VNFIN LEAD ETF relevant legal provisions:
 - o At least one independent member with qualifications and experience in the areas of accounting and auditing;
 - o At least one independent member with qualifications and experience in the areas of securities investment analysis or asset management;
 - o At least one member with qualifications and experience in laws and legal regulations in securities.
 - The Chairman of the Board of Fund Representatives is independent member, as stipulated in Article 28.1 of the Charter of SSIAM VNFIN LEAD ETF.
2. Operation of the Board of Fund Representatives in 2020:

Pursuant to proposals of SSI Asset Management Company Limited (SSIAM), the management company of the Fund, in 2020, the Board of Fund Representatives has hold meetings at least once per quarter and approved the following matters:

 - Approved operational report on quarter 1/2020 in accordance with Meeting Minute No. 01/2020/BB-BĐDQ dated 30/03/2020.

- Approved the selection of an auditing company to review 2020 semi-annual financial statements and audit annual financial statements of the Fund for the year 2020 in accordance with Meeting Minute No. 02/2020/BB-BĐDQ and Resolution No. 02/2020/NQ-BĐDQ dated 30/6/2020.
- Approved operational report on quarter 3/2020 in accordance with Meeting Minute No. 03/2020/BB-BĐDQ dated 30/9/2020.
- Approved operational report on quarter 4/2020 in accordance with Meeting Minute No. 04/2020/BB-BĐDQ dated 31/12/2020.

Sincerely,

**BOARD OF FUND REPRESENTATIVES
OF SSIAM VNFIN LEAD ETF**

Chairman

(Signed)

Bui Ngoc Binh