

SSIAM VNFIN LEAD ETF

Managed by

SSI ASSET MANAGEMENT COMPANY LIMITED

License on Establishment and Operation No. 19/GP-UBCK granted by State Securities Commission dated 03/8/2007

Address: 5th Floor, Building 1C Ngo Quyen, Hoan Kiem District, Hanoi

Tel.: (84-24) 3936 6321

Fax: (84-24) 3936 6337

COLLECTION FORM OF INVESTOR'S WRITTEN OPINIONS

I. FUND INFORMATION

- Name: QUỸ ETF SSIAM VNFIN LEAD
- Name in English: SSIAM VNFIN LEAD ETF
- Certificate of Establishment Registration of the Public Fund No. 42/GCN-UBCK dated 24 February 2020.

II. INVESTOR'S INFORMATION

- Full name:
- Address:
- Nationality:
- ID/ Passport/Enterprise Registration No.:
- The number of owned Fund Units:

III. OBJECTIVES OF OPINIONS COLLECTION

Collecting opinions of 2021 annual General Investors' Meeting under the form of collecting written opinions.

IV. ISSUES TO BE SUBMITTED TO GET INVESTORS' WRITTEN OPINIONS

1. Approving the report on operational result of 2020 and investment strategy of 2021 of the Fund;
2. Approving the audited annual financial statements of the Fund for the year 2020;
3. Approving the authorization of the General Investors' Meeting to the Board of Fund Representatives to select an auditing company to review the semi-annual financial statement and audit the annual financial statement for the year 2021 of the Fund from the list of auditing companies proposed by SSIAM, which meets all criteria provided in the Fund's Charter and is one of the largest multinational auditing firms (Big 4) operating in Vietnam, namely PricewaterhouseCoopers (PwC), Ernst & Young (EY), Deloitte, KPMG and has competitive fee.
4. Approving the amendments and supplements of the Fund Charter to update new regulations of Law on Securities and guiding and implementing documents.
5. Approving the term of the Board of Fund Representatives being five (5) years. The term of the current Board of Fund Representative is from 2021 to 2026.

V. VOTING OPINIONS

1. Approving the report on operational result of 2020 and investment strategy of 2021 of the Fund.
 - Agree:
 - Disagree:
 - No opinion:
2. Approving the audited annual financial statements of the Fund for the year 2020.
 - Agree:
 - Disagree:
 - No opinion:



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3. Approving the authorization of the General Investors' Meeting to the Board of Fund Representatives to select an auditing company to review the semi-annual financial statement and audit the annual financial statement for the year 2021 of the Fund from the list of auditing companies proposed by SSIAM, which meets all criteria provided in the Fund's Charter and is one of the largest multinational auditing firms (Big 4) operating in Vietnam, namely PricewaterhouseCoopers (PwC), Ernst & Young (EY), Deloitte, KPMG and has competitive fee.

- Agree:
- Disagree:
- No opinion:

4. Approving the amendments and supplements of the Fund Charter to update new regulations of Law on Securities and guiding and implementing documents.

- Agree:
- Disagree:
- No opinion:

5. Approving the term of the Board of Fund Representatives being five (5) years. The term of the current Board of Fund Representative is from 2021 to 2026.

- Agree:
- Disagree:
- No opinion:

Day.....Month..... 2021

Investor

(Signature, seal, full name)

Hanoi, 05 April 2021 *env*

SSI ASSET MANAGEMENT COMPANY LIMITED



Le Thi Le Hang

Chief Executive Officer

Note

Investor kindly fills full information in item "Investor's information" and ticks into suitable box to vote issues provided in item "Voting opinions"

Please kindly send back this collection form via email, fax or post office to the following address so that SSIAM can receive it **by 17:30 of 23 April 2021**:

SSI Asset Management Company Limited

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