

SUPERVISORY BANK'S REPORT

We, appointed as Supervisory Bank of SSIAM VNFIN LEAD ETF (“the Fund”) for the period from 24 February 2020 to 31 December 2020, recognize that the Fund operated and was managed in the following matters:

- a) During our supervision of the Fund’s investment and asset transactions during the period from 24 February 2020 to 31 December 2020, the Fund complied with investment restriction under the prevailing regulations for exchange-traded funds, Fund Prospectus and other relevant regulations.
- b) Assets Valuation and Pricing of SSIAM VNFIN LEAD ETF were carried out in accordance with the Fund Charter, Fund Prospectus and other prevailing regulations.
- c) During the period, the Fund’s subscription and redemptions were carried out in accordance with Fund Charter, Fund Prospectus and other prevailing regulations.
- d) During the period from 24 February 2020 to 31 December 2020, the Fund did not pay out dividend for its investors.

SUPERVISORY BANK REPRESENTATIVE



Võ Tri Thanh
Head of Customer Services and Treasury Back Department

SUPERVISORY BANK OFFICER

Nguyễn Tuấn Nam